

# Agenda – Constitutional and Legislative Affairs Committee – Fourth Assembly

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Meeting Venue:

Committee Room 2 – Senedd

Meeting date: Monday, 23 January  
2012

Meeting time: 14.30

For further information contact:

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Committee Clerk  
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- 1 Introduction, apologies, substitutions and declarations of interest
  
- 2 Instruments that raise no reporting issues under Standing Order  
21.2 or 21.3

## Negative Resolution Instruments

**CLA76 – The Assembly Learning Grants and Loans (Higher Education) (Wales)  
(No.2) (Amendment) Regulations 2012**

Negative Procedure. Date made 4 January 2012. Date laid 6 January 2012. Coming into force date 1 February 2012

**CLA77 – The Advisory Panel to the Welsh Language Commissioner (Appointment)  
Regulations 2012**

Negative Procedure. Date made 11 January 2012. Date laid 12 January 2012.  
Coming into force date 6 February 2012



**CLA78 – The Specified Products from China (Restriction on First Placing on the Market) (Wales) (Amendment) Regulations 2012**

Negative procedure. Date made 11 January 2012. Date laid 12 January 2012.  
Coming into force date 12 January 2012

**CLA79 – The Landfill Allowances Scheme (Wales) (Amendment) Regulations 2012**

Negative Procedure. Date made 10 January 2012. Date laid 12 January 2012.  
Coming into force date in accordance with regulation 1(2) and (3)

Affirmative Resolution Instruments

None

**3 Instruments that raise issues to be reported to the Assembly  
under Standing Order 21.2 or 21.3**

Negative Resolution Instruments

None

Affirmative Resolution Instruments

None

**4 The Local Better Regulation Office (Dissolution and Transfer of  
Functions Etc) Order 2011**

(Pages 1 – 19)

**Papers:**

CLA(4)-02-12 (p1) - The Local Better Regulation Office (Dissolution and Transfer of Functions Etc) Order 2011  
CLA(4)-02-12 (p2) - Explanatory Memorandum to the Local Better Regulation Office (Dissolution and Transfer of Functions Etc) Order 2011  
CLA(4)-02-12(p3) - Consent motion  
CLA(4)-02-12(p4) - Legal Service Briefing on The Local Better Regulation Office (Dissolution and Transfer of Functions Etc) Order 2011

## 5 Committee Correspondence

**CLA59 – The Carers Strategies (Wales) Regulations 2011**

(Pages 20 – 21)

CLA(4)-02-12(p5) - Letter from the Chair to the Minister dated 2 December 2011  
CLA(4)-02-12(p6) - The Minister's response dated 10 January 2012

## 6 Local Government Byelaws Bill

**Evidence session with the Minister for Local Government and Communities Carl Sargeant AM**

(Pages 22 – 92)

### **Papers:**

CLA(4)-01-12 (p1) - Local Government Byelaws Bill  
CLA(4)-01-12 (p2) - Explanatory Memorandum to the Local Government Byelaws Bill

### **Present:**

- **Carl Sargeant AM**, Minister for Local Government and Communities, Welsh Government
- **Stephen Phipps**, Ethics and Regulation Team
- **Louise Gibson**, Lawyer

## 7 Date of the next meeting

**CLA(4)-01-12- Report**

**Papers to note:**

- 8 The Committee will be invited to resolve to exclude the public from the remainder of the meeting in accordance with Standing Order 17.42(vi):

A Committee may resolve to exclude the public from a meeting or any part of a meeting where:

(vi) the Committee is deliberating on the conclusions or recommendations of a report it proposes to publish

- 9 Consideration of the evidence on Local Government Byelaws Bill

*Draft Order laid before Parliament under section 18 of the Regulatory Enforcement and Sanctions Act 2008, for approval by resolution of each House of Parliament.*

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## DRAFT STATUTORY INSTRUMENTS

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**2011 No. 0000**

### **REGULATORY REFORM**

#### **The Local Better Regulation Office (Dissolution and Transfer of Functions, Etc.) Order 2011**

*Made* - - - - *2012*

*Coming into force* - - *1st February 2012*

The Secretary of State makes the following Order in exercise of the powers conferred by section 18 of the Regulatory Enforcement and Sanctions Act 2008(a).

In accordance with section 20(3) of that Act a draft of this Order was laid before and approved by a resolution of each House of Parliament.

The Secretary of State has, in accordance with section 18(6) of that Act, consulted the Welsh Ministers and such persons as appear to the Secretary of State to be substantially affected by the dissolution of the Local Better Regulation Office.

#### **Citation, commencement and extent**

1.—(1) This Order may be cited as the Local Better Regulation Office (Dissolution and Transfer of Functions, Etc.) Order 2011.

(2) This Order comes into force on 1st February 2012.

(3) This Order extends to England and Wales, Scotland and Northern Ireland.

#### **Dissolution of the Local Better Regulation Office**

2.—(1) The Local Better Regulation Office (“LBRO”) is dissolved.

(2) Paragraph (1) is subject to Article 9.

#### **Transfer of functions**

3. The functions of the LBRO under Part 1 of the Regulatory Enforcement and Sanctions Act 2008 are transferred, in accordance with Schedule 1, to the Secretary of State and the Welsh Ministers.

4. The functions of the LBRO under Part 2 of that Act are transferred, in accordance with Schedule 1, to the Secretary of State.

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(a) 2008 c.13.

5. Schedule 1 (which gives effect to the transfers made by Articles 3 and 4 and makes consequential, supplemental and incidental provision in relation to those transfers) has effect.

6. The Secretary of State and the Welsh Ministers must enter into a memorandum of understanding with each other as to how they will work together in the exercise of their respective functions as a result of this Order.

### **Transfer of employees**

7.—(1) The employees of the LBRO shall, from the date on which this Order comes into force, be employed in the civil service of the State.

(2) The Transfer of Undertakings (Protection of Employment) Regulations 2006(a) have effect as if the transfer under paragraph (1) is a relevant transfer for the purposes of those regulations.

### **Transfer of property**

8.—(1) All the property, rights and liabilities (other than rights and liabilities arising from any contract of employment) to which the LBRO is entitled or subject immediately before the coming into force of this Order become on the coming into force of this Order property, rights and liabilities of the Secretary of State.

(2) Paragraph (1) operates in relation to property, rights and liabilities—

- (a) whether or not they would otherwise be capable of being transferred;
- (b) without any instrument or other formality being required; and
- (c) despite any provision (of whatever nature) which would otherwise prevent, penalise or restrict their transfer.

(3) This Article is subject to Article 9.

### **Final Accounts of the Local Better Regulation Office**

9.—(1) A statement of the final accounts of the LBRO is to be prepared by a committee (“the Committee”) established by the LBRO for the purpose of preparing and submitting a statement of its accounts to the Secretary of State.

(2) The Committee must send a copy of the statement to the Secretary of State and to the Comptroller and Auditor General within such period as the Secretary of State directs.

(3) A direction given by the Secretary of State under paragraph 13(3) of Schedule 1 to the Regulatory Enforcement and Sanctions Act 2008 has effect in relation to a statement of accounts prepared under this Article as it had effect in relation to a statement of accounts prepared under that paragraph.

(4) The Comptroller and Auditor General must—

- (a) examine, certify and report on the statement of accounts received under paragraph (2), and
- (b) send a copy of the certified statement and report to the Secretary of State.

(5) The Secretary of State must lay before Parliament a copy of the certified statement and the report received under paragraph (4)(b).

(6) Notwithstanding Articles 2 and 8—

- (a) the Committee is to continue in existence until the certified statement of the final accounts and the report on them by the Comptroller and Auditor General are laid before Parliament by the Secretary of State, and
- (b) any property, rights and liabilities retained by the Committee for the purpose of preparing the statement of the final accounts and winding-up its affairs are not transferred by Article 8.

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(a) S.I. 2006/246.

(7) If at any time the Secretary of State decides that insufficient progress has been made on the completion of the final accounts by the Committee continued in existence by paragraph (6)(a), the Secretary of State may complete the final accounts and paragraphs (3) and (4) apply to any statement of accounts completed by the Secretary of State.

(8) Property, rights and liabilities retained under paragraph (6)(b) are transferred, so far as still subsisting, to the Secretary of State when the Committee ceases to exist (and Article 8(2) applies to such a transfer as it applies to a transfer under that Article).

### **Transitional provisions**

10. Schedule 2 (which makes transitional provisions) has effect.

Date

*Name*  
Parliamentary Under Secretary of State  
Department

## SCHEDULE 1 Transfer of Functions

Article 5

### PART 1

#### Regulatory Enforcement and Sanctions Act 2008

### **Repeals**

1. The following provisions of the Regulatory Enforcement and Sanctions Act 2008 are repealed—

- (a) section 1(1) and (2);
- (b) section 2;
- (c) section 6(2)(a);
- (d) in section 6(6), the words “by it”;
- (e) section 7;
- (f) section 8;
- (g) section 9;
- (h) section 10(2);
- (i) in section 11(4), the words “to it”;
- (j) section 11(6);
- (k) section 13;
- (l) section 14;
- (m) section 15;
- (n) in section 16(1), paragraph (b) and the word “, or” preceding it;
- (o) in section 16(2), in both places, and in subsection (3)(a) and (b), the words “or directions”;
- (p) in section 16(4), the words “, and comply with any directions,”;

- (q) in section 16(5), in both places, the words “or directions”;
- (r) section 16(6) and (7);
- (s) section 17;
- (t) in section 20(2), the words “, 7(4) or 15(7)”;
- (u) section 20(4);
- (v) in section 21, the definition of “LBRO” and that of “the LBRO company”;
- (w) section 32;
- (x) section 33(5)(a);
- (y) in section 33(6), the words “by it”;
- (z) in section 35, the definition of “LBRO”;
- (aa) Schedule 1, except paragraphs 11(3) and (4) and 16; and
- (bb) Schedule 2.

### **Part 1 of the Act**

2. Part 1 of the Regulatory Enforcement and Sanctions Act 2008 is amended as follows.

3. In section 5(1), for the words from the beginning to “has” substitute “In exercising their functions under sections 6 to 10 the Secretary of State and the Welsh Ministers have”.

4. In section 6—

(a) for subsection (1), substitute—

“(1) It is a function of the Secretary of State to give guidance to—

- (a) one or more local authorities in England,
- (b) one or more local authorities in Wales, or
- (c) local authorities in England and Wales

as to how to exercise their relevant functions (other than functions relating to Welsh ministerial matters).

(1A) It is a function of the Welsh Ministers to give guidance to one or more local authorities in Wales as to how to exercise their relevant functions which relate to Welsh ministerial matters.”;

(b) in subsection (2), after “(1)” insert “or (1A)”;

(c) in subsection (4), for “LBRO”, in each place, substitute “the person giving the guidance”;

(d) for subsection (5), substitute—

“(5) The person giving guidance under this section must publish it in such manner as that person considers appropriate.”;

(e) in subsection (6), for “LBRO” substitute “The person giving the guidance”.

5. In section 10(1), for “LBRO” substitute “The Secretary of State”.

6. In section 11—

(a) for subsection (1) substitute—

“(1) The Secretary of State must prepare and publish a list specifying those matters to which a local authority in England should give priority when allocating resources to its relevant functions.

(1A) The Welsh Ministers must prepare and publish a list specifying those matters to which a local authority in Wales should give priority when allocating resources to its relevant functions.”

(b) in subsection (2), after “(1)” insert “or (1A)”;

- (c) in subsection (3)—
  - (i) for “LBRO” substitute “the person preparing the list”; and
  - (ii) for “it” substitute “that person”;
- (d) in subsection (4), for “LBRO” substitute “The person preparing the list”;
- (e) for subsection (5), substitute—
 

“(5) Before publishing a list under subsection (1A), the Welsh Ministers must consult the Secretary of State.”; and
- (f) for subsections (7) and (8), substitute—
 

“(7) A list published under this section must be reviewed from time to time by the person who published it.

(8) A list revised as a result of a review under subsection (7) must be published (and subsections (2) to (7) have effect in relation to it).”

**7. In section 12—**

- (a) In subsection (1), for “LBRO” substitute “The Secretary of State”; and
- (b) after subsection (2), insert—
 

“(3) The Secretary of State must consult the Welsh Ministers about—

  - (a) revising an existing memorandum of understanding, or
  - (b) entering into a new one,

which relates to a Welsh ministerial matter.”.

**8. In section 16—**

- (a) in subsection (1)—
  - (i) for “LBRO” substitute “the Secretary of State”; and
  - (ii) for “its functions relating” substitute “the Secretary of State’s functions under this Act so far as they relate”;
- (b) in subsection (2)(a), for “LBRO” substitute “the Secretary of State”; and
- (c) in subsection (4), for “LBRO” substitute “The Secretary of State”.

**Part 2 of the Act**

**9.** Part 2 of the Regulatory Enforcement and Sanctions Act 2008 is amended as follows.

**10.** In section 25(1), for “LBRO” substitute “the Secretary of State”.

**11.** In section 26—

- (a) in subsection (1)—
  - (i) for “LBRO”, where it first appears, substitute “The Secretary of State”; and
  - (ii) for “LBRO”, where it appears elsewhere, substitute “the Secretary of State”;
- (b) in subsections (2), (4), (5) and (6), for “LBRO” substitute “The Secretary of State”;
- (c) in subsection (3), for “LBRO” substitute “the Secretary of State”; and
- (d) in subsection (5)(a) and (b), for “it” substitute “the Secretary of State”.

**12.** In section 28(7) and (9)(b), for “LBRO” substitute “the Secretary of State”.

**13.** In section 30(6), for “LBRO” substitute “the Secretary of State”.

**14.** In section 33—

- (a) in subsections (1), (5), (6) and (7), for “LBRO” substitute “The Secretary of State”;
- (b) in subsection (4), for “LBRO” and for “it” substitute “the Secretary of State”;

- (c) in subsection (6) for “as it” substitute “as the Secretary of State”; and
- (d) for the section heading, substitute “**Guidance from the Secretary of State**”.

15. In paragraph 11(3) of Schedule 1, for “LBRO” substitute “the Secretary of State in respect of the Secretary of State’s functions under Parts 1 and 2”.

16. In Schedule 4—

- (a) in paragraph 1(1), for “LBRO”, in both places, substitute “the Secretary of State”;
- (b) in paragraph 1(2)—
  - (i) in sub-paragraph (a), for “LBRO” and for “it” substitute “the Secretary of State”; and
  - (ii) in sub-paragraph (b), for “it” substitute “the Secretary of State”;
- (c) in paragraph 1(4), for “LBRO” and for “it” substitute “the Secretary of State”;
- (d) in paragraph 2(1), for “LBRO”, in both places, substitute “the Secretary of State”;
- (e) in paragraph 2(2)—
  - (i) in paragraph (a), for “LBRO” and for “it” substitute “the Secretary of State”; and
  - (ii) in paragraph (b), for “it” substitute “the Secretary of State”;
- (f) in paragraph 2(5), for “LBRO” and for “it” substitute “the Secretary of State”;
- (g) in paragraph 2(7)—
  - (i) for “LBRO”, where it first appears, substitute “The Secretary of State”; and
  - (ii) for “LBRO”, where it appears elsewhere, substitute “the Secretary of State”;
- (h) in paragraph 3(1), for “LBRO”, in both places, substitute “the Secretary of State”;
- (i) in paragraph 3(2)—
  - (i) in paragraph (a), for “LBRO” and “it” substitute “the Secretary of State”; and
  - (ii) in paragraph (b), for “it” substitute “the Secretary of State”;
- (j) in paragraph 3(5), for “LBRO” and for “it” substitute “the Secretary of State”;
- (k) in paragraph 5(1)—
  - (i) for “LBRO” substitute “the Secretary of State”; and
  - (ii) in paragraph (b), for “it” substitute “Secretary of State”;
- (l) in paragraph 6(1), for “LBRO” substitute “The Secretary of State”;
- (m) in paragraph 6(2), for “LBRO” substitute “the Secretary of State”;
- (n) in paragraph 7(1)—
  - (i) for “LBRO” substitute “The Secretary of State”; and
  - (ii) for “it” substitute “the Secretary of State”;
- (o) in paragraph 7(3)—
  - (i) for “LBRO” substitute “The Secretary of State”; and
  - (ii) for “it” substitute “the Secretary of State”;
- (p) in paragraph 8, for “LBRO”, in both places, and for “it”, in both places, substitute “the Secretary of State”; and
- (q) in the Schedule heading, for “LBRO” substitute “the Secretary of State”.

## PART 2

### Other Enactments

**17.** In the Parliamentary Commissioner Act 1967(a), in Schedule 2 (departments etc. subject to investigation) the entry relating to the Local Better Regulation Office is repealed.

**18.** In the Superannuation Act 1972(b), in Schedule 1 (kinds of employment, etc., referred to in section 1 of that Act), the entry relating to the Local Better Regulation Office is repealed.

**19.** In the House of Commons Disqualification Act 1975(c), in Schedule 1, Part 2 (bodies of which all members are disqualified), the entry relating the Local Better Regulation Office is repealed.

**20.** In the Freedom of Information Act 2000(d), Schedule 1, in Part 6 (other public bodies and offices: general) the entry relating to the Local Better Regulation Office is repealed.

## SCHEDULE 2

Article 10

### Transitional Provisions

**1.** A list published by the LBRO under section 11(1)(a) or (b) of the Regulatory Enforcement and Sanctions Act 2008 before the coming into force of this Order has effect as if prepared and published by the Secretary of State or, as the case may be, by the Welsh Ministers under section 11 of the Regulatory Enforcement and Sanctions Act 2008 on the coming into force of this Order.

**2.** Anything done by or in relation to the LBRO which has effect immediately before the coming into force of this Order is, so far as necessary for continuing its effect on or after that day, to have effect, as appropriate, as if done by or in relation to—

- (a) the Secretary of State; or
- (b) the Welsh Ministers.

**3.** If before the day on which this Order comes into force any consultation was undertaken by the LBRO which, had it been undertaken by the Secretary of State or the Welsh Ministers on or after that day, would to any extent have satisfied any consultation requirement to which the Secretary of State is or the Welsh Ministers are subject, the requirement may to that extent be taken to have been satisfied.

**4.** Anything (including legal proceedings) which, immediately before the day on which this Order comes into force, is in the process of being done by or in relation to the LBRO may be continued by or in relation to the Secretary of State.

**5.** So far as is necessary or appropriate in consequence of Article 7 and 8, on and after the day on which this Order comes into force, a reference to the LBRO in an enactment, instrument or other document is to be treated as a reference to the Secretary of State.

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- (a) 1967 c. 13. The relevant entry was inserted by paragraph 18 of Schedule 1 to the Regulatory Enforcement and Sanctions Act 2008.
  - (b) 1972 c. 11. The relevant entry was inserted by Article 2(2)(b) of the Superannuation (Admission to Schedule 1 to the Superannuation Act 1972) Order 2008, S.I. 2008/1891.
  - (c) 1975 c. 24. The relevant entry was inserted by paragraph 17 of Schedule 1 to the Regulatory Enforcement and Sanctions Act 2008.
  - (d) 2000 c. 36. The relevant entry was inserted by paragraph 19 of Schedule 1 to the Regulatory Enforcement and Sanctions Act 2008.

## **EXPLANATORY NOTE**

*(This note is not part of the Order)*

This Order, made under section 18 of the Regulatory Enforcement and Sanctions Act 2008 (c.13) (“RESA”), provides for the dissolution of the Local Better Regulation Office (“LBRO”) and the transfer of its functions to the Secretary of State and the Welsh Ministers.

The LBRO was established under Part 1 of RESA, and came into being on 1st October 2008. Section 18 of RESA empowers the Secretary of State to dissolve the LBRO and transfer its functions etc. by Order, subject to consultation and to approval in each House of Parliament.

Article 2 dissolves the LBRO, subject to provisions in Article 9 relating to its final accounts.

Articles 3 and 4 transfer the LBRO’s functions to the Secretary of State and the Welsh Ministers, as provided for by Schedule 1.

Article 5 brings Schedule 1 (Transfer of Functions) into effect.

Article 6 provides that the Secretary of State and the Welsh Ministers shall enter into a memorandum of understanding, which will set out in more detail how the two administrations will operate the transferred functions.

Article 7 provides, in accordance with the requirement of RESA section 18(4), for the application of the Transfer of Undertakings (Protection of Employment) Regulations 2006 (S.I.2006/246) to the transfer of the LBRO’s rights and liabilities relating to employees.

Article 8 provides for the transfer of all LBRO’s property, rights and liabilities to the Secretary of State.

Article 9 provides that LBRO’s audit committee remains in existence beyond the dissolution of the LBRO for the purpose of preparing LBRO’s final accounts, and also provides the procedure for the completion of the final accounts.

Article 10 brings Schedule 2 (Transitional Provisions) into effect.

Schedule 1 Part 1 provides the repeals and amendments to RESA which are consequent upon the LBRO’s dissolution and transfer of its functions, property, rights and liabilities to the Secretary of State or to the Welsh Ministers.

Schedule 1 Part 2 provides the repeals to other legislation consequent upon the dissolution of the LBRO.

Schedule 2 provides transitional provisions.

## EXPLANATORY MEMORANDUM TO

### Local Better Regulation Office (Dissolution and Transfer of Functions, Etc.) Order

[2011] No.

**1. This explanatory memorandum has been prepared by the Department for Business, Innovation and Skills (BIS) and is laid before Parliament by Command of Her Majesty.**

#### **2. Purpose of the instrument**

2.1 The Local Better Regulation Office (LBRO) was established under section 1 of the Regulatory Enforcement and Sanctions Act 2008 (RESA 2008) on 1<sup>st</sup> October 2008. The purpose of this Order, made in exercise of powers conferred by RESA section 18, is to implement policy changes in the area of regulatory enforcement and public bodies and specifically to underpin the dissolution of the LRBO as a non-departmental public body and transfer of continuing functions into BIS.

#### **3. Matters of special interest to the Joint Committee on Statutory Instruments**

3.1 None.

#### **4. Legislative Context**

4.1 Section 18 of RESA conferred on the Secretary of State a power to provide by Order (subject to the affirmative resolution procedure) for the dissolution of LBRO. That section specifies that the Order may, among other things, provide for the transfer of functions, property, rights or liabilities of LBRO to another person.

4.2 Before making an Order to this effect the Secretary of State must consult the Welsh Ministers and such persons as may be substantially affected by the dissolution of LBRO. An Order under section 18, in order to provide for the dissolution of LBRO, may repeal, revoke or amend any enactment (which includes primary legislation).

4.3 A consultation on the future of the LBRO was carried out between 23 June and 16 September 2011. The consultation found support for the proposal that LBRO should cease to operate as a Non-Departmental Public Body and that instead the continuing functions should be moved into BIS.

4.4 This Order dissolves LBRO and transfers most of its statutory functions to the Secretary of State or (where appropriate) to the Welsh Ministers. It also transfers LBRO's property, rights and liabilities to the Secretary of State, and (as required by RESA section 18) applies the provisions of the Transfer of Undertakings (Protection of Employment) Regulations 2006 to the transfer of staff. Schedules 1 and 2 to the Order make effect to those transfers and the necessary consequential, supplementary, incidental and transitional provisions, including amendments to RESA 2008.

4.5 Continuing functions of the LBRO will be undertaken by both the Secretary of State and Welsh Ministers as specified in the Order and in line with devolved/non-devolved responsibilities. Powers and duties currently granted to the LBRO to operate the Primary Authority scheme will transfer to the Secretary of State and be carried out within BIS, in consultation with Welsh Ministers as appropriate.

## **5. Territorial Extent and Application**

5.1 This instrument extends to England and Wales, Scotland and Northern Ireland.

## **6. European Convention on Human Rights**

6.1. The Minister of State for Business and Enterprise, Mark Prisk has made the following statement regarding Human Rights: In my view the provisions of the Local Better Regulation Office (Dissolution and Transfer of Functions, Etc.) Order 2011 are compatible with the Convention rights.

## **7. Policy background - What is being done and why**

7.1. LBRO was set up to improve local authority enforcement of environmental health, trading standards, licensing and fire regulations, its overall objective being to secure the effective performance of local authority regulatory services in accordance with the principles of better regulation. The Government legislated to give LBRO a statutory basis and powers to deliver that purpose.

7.2. Initially set up as a private company in 2007, it became a statutory non departmental public body (NDPB) with the commencement on 1<sup>st</sup> October 2008 of Part One of the Regulatory Enforcement and Sanctions Act 2008. RESA gives the organisation statutory powers and duties to: operate the Primary Authority scheme, issue guidance to local authorities, manage the list of national enforcement priorities (Rogers Review), provide advice to Government on enforcement and regulatory issues, develop formal partnerships with national regulators and provide financial support and assistance to local authorities to encourage innovation and good practice amongst local authorities.

7.3. The powers conferred by RESA were created as a response to the findings of the Hampton Review of inspection and enforcement in 2005. These powers reflected the desire for central intervention to improve local delivery.

7.4. The LBRO was reviewed in the context of the Government's wider review of public bodies in 2010. That review concluded that two of LBRO's main functions should continue and that one should stop; and that delivery by an NDPB was not the best option for managing the continuing functions. In the 14 October 2010 document Public Bodies Reform - Proposals for Change<sup>1</sup> it was announced that Primary Authority and other

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<sup>1</sup> <http://www.number10.gov.uk/wp-content/uploads/2010-10-14-Public-bodies-list-FINAL.pdf>

deregulatory functions would be maintained and that an appropriate delivery mechanism to provide independence would be announced later.

7.5. In parallel, the political environment has changed. The policy drivers for this area of work now include:

- a) a focus on transforming regulation to support economic growth.
- b) the Coalition Government's commitment to reform regulatory enforcement to "end the culture of 'tick-box' regulation, and instead target inspections on high-risk organisations through co-regulation and improving professional standards."
- c) making public bodies more democratically accountable through ministers.
- d) to "achieve £2.6 billion of cumulative administrative savings across Government and divert public funds to essential frontline services."<sup>[2]</sup>, and
- e) the Coalition Government's commitment to localism

7.6. Following further consideration, and an options appraisal it was proposed that LBRO should be dissolved and the ongoing functions should transfer to BIS. The updated proposal on LBRO was announced on 16 March 2011 stating "Abolish as an NDPB and bring some functions into the department"<sup>[3]</sup>. Hence there is a need to use RESA Section 18 powers to dissolve LBRO and to make associated amendments to the Act.

7.7. Some of the amendments made to RESA by this Order relate to matters within the competence of the Welsh Assembly. It will, therefore, be necessary for a consent order to be gained in the Welsh Assembly Government. This will be undertaken by Welsh Government officials in parallel with the UK legislative process.

## 8. Consultation outcome

8.1. BIS initiated a consultation regarding the proposed changes to the LBRO on 24 June 2011 which concluded on 16 September 2011. 86 responses were received. The overall balance of responses was supportive of the proposed changes.

8.2. The response rate is not especially high, reflecting the fact that the proposals bring about a change in organisational status and arrangements, but do not involve a wholesale change in policy. It is expected that the dissolution of the LBRO and transfer of functions into BIS will result in little practical change for stakeholders since they will experience continuity of service and will largely deal with the same experienced personnel.

8.3. The consultation gained majority support for all the proposals bar one. The one exception was the proposed membership for the Representative Steering Group – a group that is being proposed to help inform and steer the continuing work post-transition. Responses on the question of the proposed membership were evenly split, 50-50. The basic proposition to establish the group was supported, half the respondents agreed with the proposed membership, but others wanted to see the balance changed, or the group

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<sup>[2]</sup> See: <http://www.cabinetoffice.gov.uk/news/government-spend-billions-less-through-quangos>

<sup>[3]</sup> See: <http://www.cabinetoffice.gov.uk/sites/default/files/resources/public-bodies-proposals-for-change.pdf>

expanded, and a number wished to be added to the membership of the Group. Further work on the make up of the Representative Steering Group is being taken forward by BIS with support from LBRO.

8.4. Consultation responses confirmed stakeholder views on: the value of LBRO's work; the need for independence in managing the Primary Authority scheme; the importance of maintaining staff expertise; the value of LBRO's Expert Panels and the need for the new unit to be able to effectively represent the views of businesses, regulators and others when informing policy development. These remain key building blocks underpinning the new Better Regulation Delivery Office within BIS.

8.5. In line with the conclusions of the BIS led (public bodies) review of LBRO, the power to direct local authorities, and the power to enforce service improvement will be stopped. However, the power to issue guidance to local authorities will be retained, as will the requirement that local authorities must have regard to that guidance. This reflects the Government's policy on localism, and LBRO experience of the most effective ways of supporting change.

8.6. The consultation therefore demonstrated that the proposals to dissolve LBRO and transfer its continuing functions to government were agreed by stakeholders with just one caveat: that care would need to be taken when forming the Representative Steering Group to ensure its membership was acceptable and seen as fit for purpose by existing, and any new, stakeholders.

8.7. A full text of the government response has been published on the BIS website<sup>[4]</sup>.

## **9. Guidance**

9.1. It is not expected that any guidance to end users – businesses or regulators – will be needed as a direct result of the dissolution of the LBRO under this legislation, since there will be no change to the processes or procedures to be followed for compliance.

9.2. However, there will be a need to communicate to all stakeholders to ensure: they are aware of the change of status of the LBRO and the new name for the unit, and they have knowledge of any amended contact details including changes to web and email addresses.

## **10. Impact**

10.1. The impact on business will be negligible. The dissolution and transfer of functions of the LBRO should not impact upon the day to day workings of businesses with their regulators.

10.2. The impact on the public sector is an organisational one: the dissolution of a public body (NDBP) and transfer of continuing functions into a central government

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<sup>[4]</sup> See: <http://www.bis.gov.uk/Consultations/future-of-local-better-regulation-office-and-primary-authority-scheme>

department. Staff currently working for the LBRO will be offered transfer terms into BIS under TUPE and it is expected that most will transfer with their posts. The expectation is that there will be a seamless continuity of service when the changes come into force.

10.3. An Impact Assessment is attached to this memorandum.

## **11. Regulating small business**

11.1. The legislation does not regulate small business.

## **12. Monitoring & review**

12.1. The changes implemented by this legislation will be considered successful if:

- a) there is a seamless continuity of service for the businesses and regulators;
- b) the Secretary of State and Welsh Ministers are aware of their new powers and duties and act upon them appropriately; and
- c) the estimated savings are achieved - (£6.4M over 10 years – as set out on page 3 of the Impact Assessment on page 3).

12.2. The SI will result in the dissolution of LBRO and the transfer of continuing functions to the Secretary of State (for Business, Innovation and Skills) or (as appropriate) the Welsh Ministers. A new unit will be formed within BIS to undertake the continuing functions. The unit will have internal agreements in place to make explicit some of the more complex areas of working brought about by the changes in legislation. These will include, but are not restricted to (i) an operating agreement setting out the role of the unit and of Ministers including the operation of the Primary Authority scheme, and (ii) an MOU between the Secretary of State and Welsh Ministers.

12.3. This new unit will be subject to internal review after 12 months to ensure that the changes made by this legislation are delivering the expected benefits. At that time it is expected that the proposed supporting internal agreements will also be reviewed for effectiveness and suitability.

12.4. Policy officials will review the management arrangements on a continuing basis to ensure they remain fit for purpose and provide understanding between the parties for best working practices.

## **13. Contact**

13.1. Rachel Mallaband, Better Regulation Executive, BIS  
0114 2075180, Email: [Rachel.Mallaband@bis.gsi.gov.uk](mailto:Rachel.Mallaband@bis.gsi.gov.uk)

13.2. Alternative contact, Roger Weller, Better Regulation Executive, BIS  
0114 2075301, Email: [Roger.Weller@bis.gsi.gov.uk](mailto:Roger.Weller@bis.gsi.gov.uk)

## **CONSENT MEMORANDUM**

### **The Local Better Regulation Office (Dissolution and Transfer of Functions, Etc) Order 2011**

#### **Consent Motion**

**That the National Assembly for Wales agrees that the Secretary of State should make the Local Better Regulation Office (Dissolution and Transfer of Functions, Etc) Order 2011 in accordance with the draft laid in Table Office on 10 January 2012.**

#### **Background**

1. The above motion was tabled by Carl Sargeant, Minister for Local Government and Communities, under guidance contained in Devolution Guidance Note 9 (DGN9) which builds on the Memorandum of Understanding between the UK Government and the Devolved Administrations, which states that the UK Government "...would not normally legislate with regard to devolved matters except with the agreement of the devolved legislature." DGN9 extends this by stating "...these consent requirements also apply where UK Ministers have the power to amend primary legislation by Order."
2. However, the National Assembly's Standing Orders do not include an automatic requirement, despite those requirements set out above, to consider changes made by Order (subordinate legislation.) This does not preclude consideration by the National Assembly, but it is not a formal requirement.
3. The Local Better Regulation Office (Dissolution and Transfer of Functions, Etc) Order 2011 was laid before Parliament on 6 December 2011, and a copy of that Order and its Explanatory Note has been laid with this Memorandum.
4. As part of its Review of Public Bodies, the UK Government made the decision to dissolve the LBRO and transfer its functions into government. The power to dissolve the LBRO and to transfer its functions sits with the Secretary of State under section 18 of the Regulatory Enforcement and Sanctions Act 2008. The Welsh Government had membership of the Review Group, and was consulted throughout the process of developing the Order to effect the dissolution and the appropriate transfer of functions, and was content with the process and the outcome.

#### **Summary of the Order and its Policy Objectives**

5. The LBRO will be dissolved by an order (the Order) made by the Secretary of State under section 18 of the Regulatory Enforcement and Sanctions Act 2008 (the 2008 Act.) The UK Government's policy intention is for the majority of the LBRO's current functions to be transferred to the Secretary of State, with LBRO functions exercisable in relation to Wales transferred to the Welsh Ministers.

6. The Order will give effect to the transfer by making amendments to the 2008 Act. Set out below is a description of the LBRO's existing functions under the 2008 Act that impact directly on the Welsh Ministers, together with a description of the position after the transfer of functions occurs:

- **Section 6** - provides a power for the LBRO give guidance to Local Authorities in England and Wales as to how to exercise their regulatory functions. This power will be transferred to the Secretary of State and the Welsh Ministers, as appropriate.
  - The Order will transfer to the Welsh Ministers the function of giving guidance to Welsh local authorities as to how to exercise those of their regulatory functions which relate to a Welsh ministerial matter (i.e. matters in Wales in respect of which the Welsh Ministers exercise functions). Welsh local authorities in receipt of that guidance will have to have regard to it when exercising the functions to which the guidance relates.
  - Before giving guidance, the Welsh Ministers will be subject to a requirement to consult with: persons whose activities are regulated by the exercise of the regulatory function; local authorities the Welsh Ministers deem appropriate to consult (or persons representative of local authorities); and such other persons the Welsh Ministers consider appropriate. Guidance given under section 6 will have to be published.
  - The LBRO's function of giving guidance to English local authorities and to Welsh local authorities in relation to non-Welsh ministerial matters (i.e. matters in Wales in respect of which the Welsh Ministers do not exercise functions) will transfer to the Secretary of State. The Secretary of State will be subject to the same duty to consult before giving any guidance as that which will apply to the Welsh Ministers.
- **Section 10** – provides a power for the LBRO to give advice or make proposals to the Welsh Ministers in relation to the exercise by Welsh local authorities of their regulatory functions, as they relate to Welsh ministerial matters. The Order will amend the 2008 Act to ensure the transfer of this function of giving advice to the Secretary of State. Current provision which allows the Welsh Ministers to require the LBRO to give advice or make

proposals will be repealed and will not be exercisable in relation to the Secretary of State.

- **Section 11** – imposes a duty upon the LBRO to prepare and publish lists specifying those matters to which local authorities in England and Wales should give priority when allocating resources to their regulatory functions. Currently, the LBRO cannot publish a list in relation to Welsh local authorities without consulting the Secretary of State and obtaining the Welsh Ministers' consent.

This duty to prepare lists will be retained. The Order will amend the 2008 Act to ensure the transfer of the function to the Secretary of State in respect of English local authorities and to the Welsh Ministers in respect of Welsh Local Authorities. This will result in the Welsh Ministers being subject to a duty to specify enforcement priorities in respect of local authorities' regulatory functions notwithstanding the fact that some of these functions do not relate to matters in Wales in respect of which the Welsh Ministers exercise functions. Accordingly, the Order amends the 2008 Act so that the Secretary of State's consultation functions survives the transfer. This means that the Welsh Ministers will have to consult the Secretary of State before publishing a list under section 11 of the 2008 Act.

- **Section 12** – requires the LBRO to enter into Memoranda of Understanding with defined National Regulators (such as the Environment Agency, The Food Standards Agency and others) as to how they will work together in the exercise of their respective functions. The Order will amend the 2008 Act to ensure the transfer of the function of entering into Memoranda of Understanding to the Secretary of State. The 2008 Act will also be amended to ensure that in revising an existing memorandum or entering into a new one, the Secretary of State will have to consult the Welsh Ministers where the memorandum relates to a Welsh ministerial matter.
- **Section 16** – provides a power for the Welsh Ministers to direct or give guidance to the LBRO as to the exercise in relation to Wales of any of its functions relating to a Welsh ministerial matter. The Order will amend the 2008 Act to retain the ability of Welsh Ministers to give guidance to the Secretary of State in respect of the Secretary of State's functions (as transferred under the Order) under the 2008 Act. The current power of direction will be repealed.
- **Part 2 of the 2008 Act** covers the Primary Authority elements of the legislation, in respect of which Welsh Ministers have few functions. The LBRO's functions under Part 2 are being transferred to the Secretary of State. Existing functions of the

Welsh Ministers (provision requiring the consent of the Welsh Ministers or consultation with them prior to the exercise of powers) have been retained.

7. Paragraph 11 of Schedule 1 to the 2008 Act currently gives the Welsh Ministers the power to provide grants to the LBRO of such amounts as they think fit. That power includes the power to attach conditions to any grants. The Order will amend Schedule 1 to the 2008 Act so that paragraphs 11 (3) and (4) of Schedule 1 are retained. These amendments will ensure that the Welsh Ministers continue to have an express power to fund the Better Regulation Delivery Organisation (the name of the new LBRO that will operate within BIS) in relation to Wales specific activities. Any grants provided will be able to have appropriate conditions attached.

8. In addition to the protection of Welsh Ministers' current functions under the 2008 Act the Order includes provision that requires the Secretary of State and the Welsh Ministers to enter into a Memorandum of Understanding with each other setting out how they will work together in the exercise of their respective functions as a result of the Order.

#### **Advantages of Using This Order**

9. The Welsh Government supports the UK Government's use of the Order-making power to effect changes in relation to Wales as the most efficient and effective means of achieving both Governments' policy objective for better regulation.

#### **Financial Implications**

10. There are no financial implications as a result of this Order - there will be no impact on any of the Budget Expenditure Lines within the Local Government and Communities Main Expenditure Group; the LBRO funding of £200,000 is already in the Main Expenditure Group. Any associated administration costs can be met from within the Local Government and Communities Delegated Running Costs budget.

Carl Sargeant  
Welsh Minister for Local Government and Communities

Paratowyd y ddogfen hon gan gyfreithwyr Cynulliad Cenedlaethol Cymru er mwyn rhoi gwybodaeth a chyngor i Aelodau'r Cynulliad a'u cynorthwyyr ynghylch materion dan ystyriaeth gan y Cynulliad a'i bwyllgorau ac nid at unrhyw ddiben arall. Gwnaed pob ymdrech i sicrhau bod y wybodaeth a'r cyngor a gynhwysir ynddi yn gywir, ond ni dderbynnir cyfrifoldeb am unrhyw ddibyniaeth a roddir arnynt gan drydydd partion.

This document has been prepared by National Assembly for Wales lawyers in order to provide information and advice to Assembly Members and their staff in relation to matters under consideration by the Assembly and its committees and for no other purpose. Every effort has been made to ensure that the information and advice contained in it are accurate, but no responsibility is accepted for any reliance placed on them by third parties

## **Constitutional and Legislative Affairs Committee**

### **The Local Better Regulation Office (Dissolution and Transfer of Functions, Etc.) Order 2012**

#### **Legal Advice Note**

##### Background

1. On the 10<sup>th</sup> January 2012, the Minister for Local Government and Communities gave notice of a motion in the following terms –  
“That the National Assembly for Wales agrees that the Secretary of State should make the Local Better Regulation Office (Dissolution and Transfer of Functions, Etc) Order 2011 in accordance with the draft laid in Table Office on 10 January 2012.”

The Memorandum was considered by the Business Committee on the 10<sup>th</sup> January and referred to the Constitutional and Legislative Affairs Committee for scrutiny prior to it being debated in Plenary, with a reporting deadline of 25 January 2012. This Note is intended to inform that consideration.

##### The Order

2. As part of its review of public bodies, the UK Government decided to dissolve the Local Better Regulation Office (“the Office”), and to transfer its functions to the Secretary of State and to Welsh Ministers. The Order was laid before Parliament on 6<sup>th</sup> December 2011, and will be subject to an affirmative resolution of both Houses of Parliament.

3. The Order will be made under an unusual power. The Office was established by the Regulatory Enforcement and Sanctions Act 2008, which, also, at section 18, contained a power to dissolve the Office by Order, and to transfer its property, rights and liabilities, as well as its functions, to another person.

4. The proposed order will transfer the staff, property, rights and liabilities to the Secretary of State. The functions are also transferred to the Secretary of State except for those relating to “Welsh ministerial matters”, which is defined by section 74 of the 2008 Act as matters “in Wales (within the meaning of the Government of Wales Act 2006) in respect of which Welsh

Ministers exercise functions. Those latter functions will be transferred to Welsh Ministers in the manner explained in paragraph 6 of the Consent Memorandum tabled by the Minister for Local Government and Communities. The policy therefore appears to be to follow the boundaries of the current devolution settlement in re-allocating the functions of the Office. There are also provisions relating to consultation and co-operation between the Secretary of State and Welsh Ministers, including a requirement for a memorandum of understanding on working together (article 4(3)).

### The Consent Memorandum

5. This is not a Legislative Consent Memorandum within the meaning of Standing Order 30, as it does not relate to provisions contained in a Bill before the UK Parliament. Nevertheless, it is similar in that it contains provisions amending primary legislation applicable to Wales in relation to a matter within the legislative competence of the National Assembly i.e. local government.

6. As is explained in paragraph 1 of the Minister's Consent Memorandum, it arises from guidance contained in Devolution Guidance Note 9, which extends the application of the legislative consent principle to amendments to primary legislation made by Order by UK Ministers. Although this is not a subject upon which evidence was received during the Committee's recent inquiry into the transfer of functions to Welsh Ministers, it is clearly a highly relevant example, which the Committee may wish to refer to in its report.

7. Whilst consent has not previously been sought in relation to an order such as this, it had been anticipated that the Committee would consider a specific category of orders made at Westminster. The category in question is draft orders under Part 1 of the Legislative and Regulatory Reform Act 2006 [Standing Order 21.7(iii)]. The reasoning was that orders under that Act could amend primary legislation in relation to Wales. It has therefore been considered appropriate for the Committee to consider this Order in the same way, in order to inform the Assembly's consideration of the motion.

8. This Order may also be useful 'pilot' for other orders that follow. The Public Bodies Act, for example contains a whole range of powers that involve the dissolution of public bodies and transfer of their functions and assets etc.

### **Conclusion**

**9. The Committee is recommended to consider whether it is content with the current order and also whether there are any lessons learnt from this example that should be taken into account in its forthcoming report.**

# Agenda Item 5.1

Y Pwyllgor Materion  
Cyfansoddiadol a  
Deddfwriaethol



Cynulliad National  
Cenedlaethol Assembly for  
Cymru Wales

## Constitutional and Legislative Affairs Committee

Gwenda Thomas AM,  
Deputy Minister for Children and Social  
Services,  
Welsh Government,  
5<sup>th</sup> Floor, Tŷ Hywel,  
Cardiff Bay,  
CF99 1NA

Bae Caerdydd / Cardiff Bay  
Caerdydd / Cardiff  
CF99 1NA

2 December 2011

Dear Deputy Minister

### CLA59 - The Carers Strategies (Wales) Regulations 2011

The Constitutional and Legislative Affairs Committee considered the above Statutory Instrument at its meeting on 28 November 2011 and agreed that I should bring to your attention the Committee's report made under Standing Order 21.3 on the merits of the Instrument.

The Committee agreed to invite the Assembly to pay special attention to this Instrument on the grounds "that it is of political or legal importance or gives rise to issues of public policy likely to be of interest to the Assembly" (Standing Order 21.3(ii)).

The Committee's report was laid in the Table Office on 1 December 2011 and is attached for information. I would be grateful if you could consider the report and let the Committee have your response in due course.

I am copying this report to the First Minister for information and have also arranged for the report and this letter to be drawn to the attention of Assembly Members.

Yours sincerely

**David Melding AM**  
Chair, Constitutional and Legislative Affairs Committee

Gwenda Thomas AC / AM  
Y Dirprwy Weinidog Plant a Gwasanaethau Cymdeithasol  
Deputy Minister for Children and Social Services



Llywodraeth Cymru  
Welsh Government

Eich cyf/Your ref: CLA59  
Ein cyf/Our ref : SF/GT/7122/11

David Melding AM  
Chair,  
Constitutional and Legislative Affairs Committee  
National Assembly for Wales  
Cardiff Bay  
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CF99 1NA

10<sup>th</sup> January 2012

*Dear David,*

Thank you for your letter and report dated 2 December, informing me of the outcome of the Constitutional and Legislative Affairs Committee meeting that considered the Carers Strategies (Wales) Regulations 2011. In the report the Committee issued ahead of the meeting you set out concerns regarding the parity of status between the English and Welsh languages, in relation to the production of the local strategies: Paragraph (7) of Regulation 9 that states that "...the strategy must be published in both English and Welsh *unless it is not reasonably practicable to do so*". The Committee felt that this line gave the suggestion that the two languages would not be given equal treatment.

As I outlined in the Government's response to your report, the strategies are not legislation and as such we do not believe that Section 156(1) of the Government of Wales Act 2006 applies. Your discussion at the Committee meeting felt that this explanation ran counter to the principle set out in Section 156(1) of the Government of Wales Act 2006, which states that texts of an Assembly Measure, Act or subordinate legislation are to be treated for all purposes as being of equal standing. Having considered this issue further, I accept the spirit of the point made by the Committee. It was never our intention to be seen to be treating the two languages differently and for this reason, as you will recall, when we debated these Regulations in plenary on 6 December I said that I would bring forward amending Regulations to revoke paragraph (7) of Regulation 9. Public authorities are already required under the Welsh Language Act 1993 to produce information which will be of interest to the public, bilingually.

*Yours sincerely,  
Gwenda.*

**Gwenda Thomas AC / AM**  
Y Dirprwy Weinidog Plant a Gwasanaethau Cymdeithasol  
Deputy Minister for Children and Social Services

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## ACCOMPANYING DOCUMENTS

Explanatory Notes and an Explanatory Memorandum are printed separately.

# Local Government Byelaws (Wales) Bill

[AS INTRODUCED]

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# Local Government Byelaws (Wales) Bill

## [AS INTRODUCED]

A Bill of the National Assembly for Wales to make provision for the powers of county councils, county borough councils, community councils and other public bodies to make byelaws; the procedure for making byelaws; the enforcement of byelaws; and for connected purposes.

5 **Having been passed by the National Assembly for Wales and having received the assent of Her Majesty, it is enacted as follows:**

### *Introduction*

#### **1 Overview**

This Act—

- 10
- (a) reforms procedures for making byelaws in Wales, including removing a requirement for confirmation of byelaws by the Welsh Ministers;
  - (b) enables certain byelaws to be enforced by fixed penalty notices;
  - (c) requires authorities that make byelaws to have regard to any guidance given by the Welsh Ministers on procedure;
  - (d) restates for Wales a general power to make byelaws.

15 *Power to make byelaws*

#### **2 Byelaws for good rule and government and suppression of nuisances**

(1) A council in Wales may make byelaws—

- (a) for the good rule and government of the whole or any part of its area;
- (b) for the prevention and suppression of nuisances in its area.

20 (2) But byelaws may not make provision which—

- (a) is made by an Act of Parliament, Assembly Measure or Act of the Assembly;
- (b) is made, or could be made, by subordinate legislation (which means legislation made by statutory instrument).

(3) This section applies to—

- 25
- (a) a county borough council;
  - (b) a county council.

*Interpretation***3 Meaning of “legislating authority”**

Each of the following is a legislating authority in Wales for the purposes of this Act—

- (a) a county borough council;
- (b) a county council;
- (c) a community council;
- (d) a National Park authority;
- (e) the Countryside Council for Wales.

*Revocation or amendment of byelaws***4 Revocation or amendment by a legislating authority**

- (1) A legislating authority may make a byelaw to revoke or amend a byelaw previously made by it.
- (2) But this power may be exercised only where the authority has no other power to revoke or amend the byelaw.
- (3) A power to revoke or amend a byelaw is exercisable in the same manner and subject to the same conditions or limitations as the power to make the byelaw.

**5 Revocation by the Welsh Ministers**

- (1) The Welsh Ministers may by order revoke any byelaw made by a legislating authority which they think is obsolete.
- (2) An order may make different provision for different areas, including different provision for different localities and for different authorities.

*Procedure for byelaws***6 Byelaws not requiring confirmation**

- (1) This section applies to byelaws made by a legislating authority under the enactments listed in Part 1 of Schedule 1.
- (2) Before it makes a byelaw, an authority must—
  - (a) publish on the authority's website an initial written statement which describes the issue which the authority thinks may be addressed by making a byelaw;
  - (b) consult persons who the authority thinks are likely to be interested in, or affected by, the issue.
- (3) Following the consultation, the authority must consider the responses and decide whether making a byelaw is the most appropriate way of addressing the issue.

- (4) The authority must then publish on its website a second written statement which contains –
- (a) the initial written statement;
  - (b) a summary of the consultation and the responses;
  - (c) its decision;
  - (d) the reasons for that decision.
- (5) At least one month before the byelaw is made, notice of the intention to make the byelaw must be published –
- (a) in one or more local newspapers circulating in the area to which the byelaw is to apply;
  - (b) on the authority's website.
- (6) For at least one month before making the byelaw, the authority must ensure that –
- (a) a draft of the byelaw is published on the authority's website;
  - (b) a copy of the draft is deposited at its principal office;
  - (c) a copy is open to public inspection at all reasonable hours without payment.
- (7) The authority must give a copy of the draft byelaw to any person who applies for it, subject to that person paying such reasonable fee charged by the authority (if any).
- (8) An authority may not make a byelaw later than six months after the date of the notice under subsection (5).

## 7 Byelaws requiring confirmation

- (1) This section applies to byelaws made by a legislating authority under any enactment other than those listed in Part 1 of Schedule 1.
- (2) But this section does not apply to the extent that the enactment conferring the power to make a byelaw makes different provision in relation to one or more of the following –
- (a) a requirement to submit byelaws for confirmation;
  - (b) publication of a notice of intent to make the byelaw;
  - (c) publication of the byelaw;
  - (d) making copies of the byelaw available.
- (3) Byelaws made by the legislating authority must be submitted to the confirming authority and do not have effect unless and until they are confirmed by the confirming authority.
- (4) At least one month before the byelaw is submitted for confirmation, notice of the legislating authority's intention to do so must be published –
- (a) in one or more local newspapers circulating in the area to which the byelaw is to apply;
  - (b) on the authority's website.

- (5) For at least one month before the byelaw is submitted for confirmation, the legislating authority must ensure that—
- (a) the byelaw is published on the authority's website;
  - (b) a copy of the byelaw is deposited at its principal office (and, in the case of a byelaw made by the Countryside Council for Wales under the National Parks and Access to the Countryside Act 1949, at the principal office of each council of a county or county borough to whose area the byelaw applies);
  - (c) a copy is open to public inspection at all reasonable hours without payment.
- (6) The legislating authority must give a copy of the byelaw to any person who applies for it, subject to that person paying such reasonable fee charged by the authority (if any).
- (7) The confirming authority may confirm, or refuse to confirm, any byelaw submitted to it under this section.
- (8) For the purposes of this Act, the confirming authority is—
- (a) the person specified in the enactment under which the byelaws are made as the person who is to confirm the byelaws, or
  - (b) if no person is specified, the Welsh Ministers.
- (9) The functions of the Welsh Ministers under subsection (8)(b) are exercisable concurrently with the Secretary of State.

## **8 Formalities, commencement and publication of byelaws**

- (1) This section applies to byelaws made by a legislating authority under any enactment.
- (2) But this section does not apply to the extent that the enactment conferring the power to make the byelaw makes different provision in relation to one or more of the following—
- (a) signature or sealing of the byelaw;
  - (b) publication of the byelaw;
  - (c) making copies of the byelaw available.
- (3) Byelaws made by a legislating authority must be made under the common seal of the authority, or, in the case of byelaws made by a community council not having a seal, signed by two members of the council.
- (4) Byelaws come into effect on the date fixed by the legislating authority, or if they require confirmation, by the confirming authority. If no date is fixed, they come into effect at the end of one month from the date they are made (or confirmed, as applicable).
- (5) The legislating authority which makes the byelaw must—
- (a) publish the byelaw on the authority's website when made, or if it requires confirmation, when confirmed;
  - (b) deposit a copy of the byelaw at its principal office;

- (c) ensure that the copy is open to public inspection at all reasonable hours without payment;
- (d) give a copy of the byelaw to a person who requests it, subject to that person paying a such reasonable fee charged by the authority (if any).
- 5 (6) The proper officer of a county borough council or county council must send a copy of a byelaw once made, or where required once confirmed, to the proper officer of the council of every community to which the byelaw applies.
- (7) In the case of byelaws made by a National Park authority, the proper officer of the authority must send a copy of a byelaw once made, or where required once confirmed, to the proper officer of –
- 10 (a) the council for every county borough or county whose area includes the whole or part of the National Park;
- (b) the council of every community whose area includes the whole or part of the National Park.
- 15 (8) In the case of byelaws made by the Countryside Council for Wales under the National Parks and Access to the Countryside Act 1949, the Council must ensure that it sends a copy of a byelaw once made, or where required once confirmed, to the proper officer of –
- (a) the council of every county borough or county to whose area the byelaw applies;
- (b) the council of every community to whose area the byelaw applies.
- 20 (9) The proper officer of the community council must –
- (a) arrange for a copy of a byelaw sent to the officer to be deposited with the public documents of the community;
- (b) ensure that the copy is open to public inspection at all reasonable hours without payment.
- 25 (10) In subsections (6) to (9) the “proper officer” is the officer duly authorised for that purpose by that body.

## 9 Power to amend Part 1 of Schedule 1

The Welsh Ministers may by order amend Part 1 of Schedule 1 (Byelaws not requiring confirmation) by adding to or subtracting from the list of enactments, or by amending the type of authority that may make byelaws without confirmation.

30

### *Enforcement of byelaws*

## 10 Offences against byelaws

- (1) Byelaws made by a legislating authority under any enactment may provide that persons contravening the byelaws are liable on summary conviction to a fine.
- 35 (2) The fine must not exceed either –
- (a) the sum fixed by the enactment which confers the power to make the byelaws; or
- (b) if no sum is so fixed, level 2 on the standard scale.

- (3) In the case of a continuing offence, the byelaws may provide that the offender is liable on summary conviction to a further fine.
- (4) The further fine must not exceed either –
- (a) the sum fixed by the enactment which confers the power to make the byelaws, or
  - (b) if no sum is so fixed, the sum of £5 for each day during which the offence continues after conviction for that offence.

## 11 Section 2 byelaws; powers of seizure etc

A byelaw made under section 2 may include provision for or in connection with –

- (a) the seizure and retention of any property in connection with any contravention of the byelaw, and
- (b) the forfeiture of any such property on a person's conviction of an offence of contravention of the byelaw.

### *Fixed penalty notices*

## 12 Power to offer fixed penalties for offences against certain byelaws

- (1) This section applies to byelaws made by a legislating authority under the enactments listed in Part 2 of Schedule 1 (Byelaws in relation to which fixed penalties may be issued).
- (2) If an authorised officer of a legislating authority has reason to believe that a person has committed an offence against a byelaw made by that authority, the officer may give a notice to the person offering the opportunity of discharging any liability to conviction for the offence by payment of a fixed penalty.
- (3) If an authorised officer of a community council has reason to believe that a person has committed an offence in its area against a byelaw made by a legislating authority other than the community council, the officer may give that person a notice offering the person the opportunity of discharging any liability to conviction for the offence by payment of a fixed penalty.
- (4) A fixed penalty under this section is payable to the authority whose officer gave the notice.
- (5) Where a person is given a notice under this section in respect of an offence –
- (a) no proceedings may be instituted for the offence before the end of the period of 14 days following the date of the notice, and
  - (b) the person may not be convicted of the offence if the person pays the fixed penalty before the end of that period.
- (6) A notice under this section must give such particulars of the circumstances alleged to constitute the offence as are necessary to explain why an offence has occurred.
- (7) A notice under this section must also state –
- (a) the period under subsection (5) during which proceedings will not be taken for the offence;

(b) the amount of the fixed penalty;

(c) the person to whom and the address at which the fixed penalty may be paid.

(8) Without prejudice to payment by any other method, payment of the fixed penalty may be made by pre-paying and posting a letter containing the amount of the penalty (in cash or otherwise) to the person referred to, at the address provided, in the notice.

(9) If a letter is sent, payment is to be regarded as having been made at the time at which that letter would be delivered in the ordinary course of post.

(10) The Welsh Ministers may by regulations specify the form of a notice under this section.

(11) In any proceedings a certificate which—

(a) purports to be signed on behalf of the chief finance officer of an authority, and

(b) states that payment of a fixed penalty was or was not received by a date specified in the certificate,

is evidence of the facts stated.

(12) In this section—

“authorised officer”, in relation to an authority, means—

(a) an employee of the authority who is authorised in writing by the authority for the purpose of giving notices under this section,

(b) any person who, in pursuance of arrangements made with the authority, has the function of giving such notices and is authorised in writing by the authority to perform the function, and

(c) any employee of such a person who is authorised in writing by the authority for the purpose of giving such notices;

“chief finance officer”, in relation to an authority, means the person having responsibility for the financial affairs of the authority.

(13) The Welsh Ministers may by regulations prescribe conditions to be satisfied by a person before a community council may authorise the person in writing for the purpose of giving notices under this section.

### **13 Amount of fixed penalty**

(1) A legislating authority may—

(a) specify the amount of a fixed penalty payable in pursuance of a notice under section 12;

(b) specify different amounts in relation to different byelaws.

(2) If no amount is so specified, the amount of the fixed penalty is £75.

(3) The Welsh Ministers may by regulations make provision in connection with the powers under subsection (1).

(4) Regulations under subsection (3) may, in particular—

(a) require an amount specified under subsection (1)(a) to fall within a range prescribed in the regulations,

(b) restrict the extent to which, and the circumstances in which, an authority can make provision under subsection (1)(b).

(5) The Welsh Ministers may by order substitute a different amount for the amount for the time being specified in subsection (2).

#### 5 **14 Power to require name and address in connection with fixed penalty**

(1) If an authorised officer proposes to give a person a notice under section 12, the officer may require the person to give his or her name and address.

(2) A person commits an offence if that person—

10 (a) without reasonable excuse, fails to give his or her name and address when required to do so, or

(b) gives a false or inaccurate name or address in response to a requirement under that subsection.

(3) A person guilty of an offence under subsection (2) is liable on summary conviction to a fine not exceeding level 3 on the standard scale.

15 (4) In this section, “authorised officer” has the same meaning as in section 12.

#### **15 Use of fixed penalty receipts**

(1) The authority must have regard to the desirability of using its fixed penalty receipts for the purpose of combating a nuisance for the prevention of which a byelaw was made by the authority.

20 (2) “Fixed penalty receipts” means amounts paid to an authority in pursuance of notices under section 12.

#### **16 Power to amend Part 2 of Schedule 1**

25 The Welsh Ministers may by order amend Part 2 of Schedule 1 (Byelaws in relation to which fixed penalty notices may be issued) by adding to or subtracting from the list of enactments, or by amending the type of authority that may offer fixed penalty notices.

#### **17 Community Support Officers etc**

(1) The Police Reform Act 2002 is amended as follows.

(2) In Schedule 4 (powers exercised by police civilians)—

30 (a) in paragraph 1ZA(3) after “1972” insert “or under section 12 of the Local Government Byelaws (Wales) Act 2012”;

(b) in paragraph 1ZA(5)(a) after “1972” insert “or to which section 12 of the Local Government Byelaws (Wales) Act 2012 applies”.

(3) In Schedule 5 (powers exercised by accredited persons)—

35 (a) in paragraph 1A(3) after “1972” insert “or under section 12 of the Local Government Byelaws (Wales) Act 2012”;

- (b) in paragraph 1A(5)(a) after “1972” insert “or to which section 12 of the Local Government Byelaws (Wales) Act 2012 applies”.

*Miscellaneous and general*

**18 Guidance**

- 5 (1) The Welsh Ministers may give guidance to legislating authorities about –
- (a) the procedure for making the byelaws to which section 6 or 7 applies;
  - (b) the enforcement of byelaws;
  - (c) anything related to these matters including –
    - 10 (i) consultation and publication requirements;
    - (ii) the use of fixed penalties.
- (2) A legislating authority must have regard to the guidance when making or enforcing byelaws.

**19 Evidence of byelaws**

- 15 (1) The production of a certified copy of a byelaw purporting to be made by a legislating authority is, until the contrary is proved, sufficient evidence of the facts stated in the certificate.
- (2) For the purposes of this section, a certified copy of a byelaw is a printed copy of the byelaw that is endorsed with a certificate purporting to be signed by the proper officer of a legislating authority stating –
- 20 (a) that the byelaw was made by the authority;
  - (b) that the copy is a true copy of the byelaw;
  - (c) that on a specified date the byelaw was confirmed by the authority named in the certificate or, as the case may be, was sent to the confirming authority and has not been disallowed;
  - 25 (d) the date, if any, fixed by the confirming authority for the coming into effect of the byelaw.
- (3) The requirements in paragraphs (c) and (d) of subsection (2) do not apply if the byelaw was not subject to confirmation after it was made.

**20 Consequential amendments**

- 30 Schedule 2 (minor and consequential amendments) has effect.

**21 Orders and regulations**

- (1) A power to make an order or regulations under this Act includes power to make such incidental, consequential, transitional or supplemental provision as the Welsh Ministers consider appropriate.
- 35 (2) In the case of the power under sections 9 and 16, this provision includes provision amending, repealing or revoking enactments.

- 5
- (3) Any power of the Welsh Ministers to make an order or regulations under this Act is exercisable by statutory instrument.
  - (4) A statutory instrument containing an order under section 9, 13(5) or 16 may not be made unless a draft of the instrument has been laid before, and approved by a resolution of, the National Assembly for Wales.
  - (5) Any other statutory instrument containing an order or regulations under this Act, apart from an instrument containing only an order under section 22 (commencement), is subject to annulment in pursuance of a resolution of the National Assembly for Wales.

10

**22 Commencement**

- (1) This Act comes into force in accordance with provision made by the Welsh Ministers by order.
- (2) An order under this section –
  - (a) may appoint different days for different purposes;
  - (b) may include transitional, saving or transitory provision.

15

**23 Short title**

The short title of this Act is the Local Government Byelaws (Wales) Act 2012.

SCHEDULE 1  
(Sections 6 and 12)

LISTS OF BYELAW MAKING POWERS

PART 1

BYELAWS NOT REQUIRING CONFIRMATION

- 1 Section 6 applies to byelaws made –
- (a) under the enactments listed in the first column of the table below,
  - (b) in relation to the subject matter listed in the second column of the table,
  - (c) by the type of authority listed in the third column of the table.

PART 1 TABLE

Enactment under which byelaws are made	Subject- matter of byelaws	Type of authority by whom the byelaws are made
Section 68 of the Town Police Clauses Act 1847	Regulation of hackney carriages	County council and county borough council
Section 164 of the Public Health Act 1875	Public walks and pleasure grounds	County council, county borough council and community council
Section 6 of the Town Police Clauses Act 1889	Regulation of horse drawn omnibuses	County council and county borough council
Sections 12 and 15 of the Open Spaces Act 1906	Open spaces and burial grounds	County council, county borough council and community council
Section 82 of the Public Health Acts Amendment Act 1907	Sea-shore	County council and county borough council
Section 83 of the Public Health Acts Amendment Act 1907	Promenades	County council and county borough council
Section 81 of the Public Health Act 1936	Prevention of certain nuisances	County council and county borough council
Section 82 of the Public Health Act 1936	Removal through streets of offensive matter or liquid	County council and county borough council
Section 87 of the Public Health Act 1936	Provision of public conveniences	County council, county borough council and community council

	Section 198 of the Public Health Act 1936	Provision of mortuaries and post-mortem rooms	County council, county borough council and community council
5	Section 223 of the Public Health Act 1936	Regulation of baths, washhouses, swimming baths etc	County council, county borough council and community council
	Section 231 of the Public Health Act 1936	Public bathing	County council, county borough council and community council
10	Section 233 of the Public Health Act 1936	With respect to swimming baths and bathing pools not under the management of a local authority	County council, county borough council and community council
15	Section 268 of the Public Health Act 1936	Prevention of nuisances in connection with the use of tents, vans etc	County council and county borough council
	Section 270 of the Public Health Act 1936	Accommodation of hop-pickers and persons engaged in similar work	County council and county borough council
20	Section 75 of the Public Health Act 1961	Pleasure fairs and roller skating rinks	County council and county borough council
	Section 76 of the Public Health Act 1961	Seaside pleasure boats	County council and county borough council
	Section 77 of the Public Health Act 1961	Hairdressers and barbers	County council and county borough council
25	Section 19 of the Public Libraries and Museums Act 1964	Regulating the conduct of persons in libraries and museums and the use of those facilities	County council and county borough council
	Section 35 of the Highways Act 1980	Regulation of walkways	County council and county borough council
30	Section 114 of the Highways Act 1980	Conduct of persons using or entering public conveniences provided by highway authorities	County council and county borough council
35	Section 14 of the Local Government (Miscellaneous Provisions) Act 1982	Acupuncture	County council and county borough council

	Section 15 of the Local Government (Miscellaneous Provisions) Act 1982	Tattooing, semi-permanent skin colouring, cosmetic piercing and electrolysis	County council and county borough council
5	Section 60 of the Food Act 1984	Regulation and prevention of nuisances in market places	County council, county borough council and community council
	Section 57(7) of the Road Traffic Regulation Act 1984	Use of parking places	Community council
10	Section 23 of the Housing Act 1985	Management, use and regulation of local authority houses, the use of land provided in connection with housing and as respects local authority lodging houses	County council and county borough council
15	Section 16 of the Cardiff Bay Barrage Act 1993	Good rule and government of inland bay and harbour	County Council (Cardiff)
	Section 2 of this Act	Good rule and government	County council and county borough council
	Section 4(1) of this Act	Power to revoke byelaws	Legislating authority

## PART 2

20 BYELAWS IN RELATION TO WHICH FIXED PENALTIES MAY BE ISSUED

2 Section 12 applies to byelaws made—

- (a) under the enactments listed in the first column of the table below,
- (b) in relation to the subject matter listed in the second column of the table,
- (c) by the type of authority listed in the third column of the table.

25 PART 2 TABLE

	<b>Enactment under which byelaws are made</b>	<b>Subject- matter of byelaws</b>	<b>Type of authority by whom the byelaws are made</b>
	Section 68 of the Town Police Clauses Act 1847	Regulation of hackney carriages	County council and county borough council
30	Section 164 of the Public Health Act 1875	Public walks and pleasure grounds	County council, county borough council and community council
	Section 6 of the Town Police Clauses Act 1889	Regulation of horse drawn omnibuses	County council and county borough council

	Sections 12 and 15 of the Open Spaces Act 1906	Open spaces and burial grounds	County council, county borough council and community council
5	Section 82 of the Public Health Acts Amendment Act 1907	Sea-shore	County council and county borough council
	Section 83 of the Public Health Acts Amendment Act 1907	Promenades	County council and county borough council
10	Section 18 of the Children and Young Persons Act 1933	Restrictions on employment of children	County council and county borough council
	Section 20 of the Children and Young Persons Act 1933	Restrictions on the engagement or employment of children in street trading	County council and county borough council
15	Section 81 of the Public Health Act 1936	Prevention of certain nuisances	County council and county borough council
	Section 82 of the Public Health Act 1936	Removal through streets of offensive matter or liquid	County council and county borough council
20	Section 87 of the Public Health Act 1936	Provision of public conveniences	County council, county borough council and community council
	Section 198 of the Public Health Act 1936	Provision of mortuaries and post-mortem rooms	County council, county borough council and community council
25	Section 223 of the Public Health Act 1936	Regulation of baths, washhouses, swimming baths etc	County council, county borough council and community council
	Section 231 of the Public Health Act 1936	Public bathing	County council, county borough council and community council
30	Section 233 of the Public Health Act 1936	With respect to swimming baths and bathing pools not under the management of a local authority	County council, county borough council and community council
35	Section 268 of the Public Health Act 1936	Prevention of nuisances in connection with the use of tents, vans etc	County council and county borough council
	Section 270 of the Public Health Act 1936	Accommodation of hop-pickers and persons engaged in similar work	County council and county borough council
40	Section 75 of the Public Health Act 1961	Pleasure fairs and roller skating rinks	County council and county borough council

	Section 76 of the Public Health Act 1961	Seaside pleasure boats	County council and county borough council
	Section 77 of the Public Health Act 1961	Hairdressers and barbers	County council and county borough council
5	Section 19 of the Public Libraries and Museums Act 1964	Regulating the conduct of persons in libraries and museums and the use of those facilities	County council and county borough council
	Section 35 of the Highways Act 1980	Regulation of walkways	County council and county borough council
10	Section 114 of the Highways Act 1980	Conduct of persons using or entering public conveniences provided by highway authorities	County council and county borough council
	Section 14 of the Local Government (Miscellaneous Provisions) Act 1982	Acupuncture	County council and county borough council
15	Section 15 of the Local Government (Miscellaneous Provisions) Act 1982	Tattooing, semi-permanent skin colouring, cosmetic piercing and electrolysis	County council and county borough council
20	Section 60 of the Food Act 1984	Regulation and prevention of nuisances in market places	County council, county borough council and community council
	Section 57(7) of the Road Traffic Regulation Act 1984	Use of parking places	Community council
25	Section 23 of the Housing Act 1985	Management, use and regulation of local authority houses, the use of land provided in connection with housing and as respects local authority lodging houses	County council and county borough council
30	Section 16 of the Cardiff Bay Barrage Act 1993	Good rule and government of inland bay and harbour	County Council (Cardiff)
	Section 2 of this Act	Good rule and government	County council and county borough council

SCHEDULE 2  
(introduced by section 20)

MINOR AND CONSEQUENTIAL AMENDMENTS

*Public Health Act 1875*

- 5 1 In section 184 of the Public Health Act 1875 (confirmation of byelaws) after “local authority” insert “in England”.

*Open Spaces Act 1906*

- 2 In section 15(2) of the Open Spaces Act 1906 (byelaws) after “any local authority” insert “in England”.

10 *Public Health Acts Amendment Act 1907*

- 3 (1) The Public Health Acts Amendment Act 1907 is amended as follows.  
 (2) In section 9 (byelaws) after “byelaws made” insert “by a local authority in England”.  
 (3) In section 82 (byelaws as to sea-shore), after the words “Provided that” insert “, in the case of byelaws made by a local authority in England,”.

15 *National Parks and Access to the Countryside Act 1949*

- 4 (1) The National Parks and Access to the Countryside Act 1949 is amended as follows.  
 (2) In section 106 (supplementary provisions as to byelaws) after subsection (4) insert—  
     “(5) This section does not apply to byelaws made under this Act by the Countryside Council for Wales.”  
 20 (3) After section 106 insert—

**“106A Supplementary provisions as to byelaws made by the Countryside Council for Wales**

- 25 (1) Sections 3 to 19 of the Local Government Byelaws (Wales) Act 2012 shall apply to all byelaws made the Countryside Council for Wales under this Act.  
 (2) The confirming authority for the purposes of section 7 of the 2012 Act is the Welsh Ministers.”.

*Public Health Act 1961*

- 5 (1) The Public Health Act 1961 is amended as follows.

(2) In section 75 (byelaws as to pleasure fairs and roller skating rinks)–

- (a) in subsection (8) after the words “as respects byelaws” insert “made by a local authority in England”.
- (b) after subsection (8) insert–

5                   “(9) A local authority in Wales which proposes to make a byelaw under this section must consult the appropriate representative bodies on the matters dealt with by the proposed byelaw.

10                   (10) For the purposes of subsection (9), “the appropriate representative bodies” are those bodies which appear to the authority to be representative of the interests of those who carry on pleasure fairs and entertainments to which this section applies.

                      (11) A local authority in Wales making a byelaw in pursuance of subsection (1)(d) of this section must consult the relevant fire and rescue authority on the matters dealt with by the proposed byelaw.

15                   (12) For the purposes of subsection (11) “relevant fire and rescue authority” is the fire and rescue authority under the Fire and Rescue Services Act 2004 for the area to which the byelaw applies.”.

(3) In section 76(2) (byelaws as to seaside pleasure boats) after the words “byelaws made” insert “by a local authority in England”.

20                   (4) In section 77(3) (byelaws as to hairdressers and barbers) after “byelaws” insert “made by a local authority in England”.

#### *Public Libraries and Museums Act 1964*

6                   In section 19(1) of the Public Libraries and Museums Act 1964 (byelaws in relation to libraries and museums) after the words “so made” insert “by a local authority in  
25                   England”.

#### *Local Government Act 1972*

7                   (1) The Local Government Act 1972 is amended as follows.

(2) In section 235(1) (powers of councils to make byelaws for good rule and government etc) –

- (a) omit “the council of a principal area in Wales”;
- (b) after the second “district” omit “principal area”.

(3) In section 236 (procedure etc for byelaws)–

- (a) in subsection (1) after “local authority” in each case insert “in England”;
- (b) in subsection (3), omit “or community”;
- 35                   (c) in subsection (9)–
  - (i) omit “or in Wales of a principal council”;
  - (ii) omit the words “or community” in each case where they appear;
- (d) omit subsection (10A).

- (4) In section 236B (alternative procedure for certain byelaws) –
- (a) in subsection (1)(a) after “local authority” insert “in England”;
  - (b) in subsection (4) –
    - (i) omit paragraph (a);
    - (ii) in paragraph (b), omit “in relation to any other byelaw,”;
  - (c) omit subsections (6), (10) and (11).
- (5) In section 238 (evidence of byelaws) after “local authority” insert “in England”.

*Wildlife and Countryside Act 1981*

- 8 (1) Section 37 of the Wildlife and Countryside Act 1981 (byelaws for protection of marine  
10 nature reserves) is amended as follows.
- (2) In subsection (5) after “byelaws under this section” insert “, other than byelaws made by  
the Countryside Council for Wales”.
- (3) After subsection (5) insert –
- 15 “(5A) Sections 3 to 19 of the Local Government Byelaws (Wales) Act 2012  
apply to byelaws made by the Countryside Council for Wales under  
this section, subject to such modifications (including modifications  
increasing the maximum fines which the byelaws may impose) as  
may be prescribed by regulations made by the Welsh Ministers.
- 20 (5B) Regulations under subsection (5A) shall be made by statutory  
instrument which shall be subject to annulment in pursuance of a  
resolution of the National Assembly for Wales.”

*Food Act 1984*

- 9 In section 121(1) of the Food Act 1984 (byelaws) after “Act” insert by a local authority in  
England”.

25 *Road Traffic Regulation Act 1984*

- 10 In section 57(7) of the Road Traffic Regulation Act 1984 (byelaws as to the use of parking  
places) after the words “Secretary of State” insert “, in the case of byelaws made by a  
parish council”.

*Cardiff Bay Barrage Act 1993*

- 30 11 In section 16 of the Cardiff Barrage Act 1993 (byelaws) omit subsections (8), (9) and (10).

*Environment Act 1995*

- 12 (1) Paragraph 17 of Schedule 17 to the Environment Act 1995 (documents, notices, records,  
byelaws etc) is amended as follows.
- (2) In sub-sub-paragraph (e) after “Act,” insert “in the case of National Park authorities in  
35 England,”

- (3) In sub-paragraph (5) after “National Park authority” insert “in England”.

*National Assembly for Wales (Transfer of Functions) Order 1999 (SI 1999/672)*

- 13 (1) Schedule 1 to the National Assembly for Wales (Transfer of Functions) Order 1999 (SI  
5 1999/672) (enactments conferring functions transferred by article 2) is amended as follows.
- (2) Under the heading “Local Government Act 1972” –
- (a) omit the words “It is directed that the functions of the Secretary of State under section 236(11) and paragraph 25 of Schedule 14 shall be exercisable by the Assembly concurrently with the Secretary of State”;
- 10 (b) omit the words “Section 238 shall have effect as if after “the Secretary of State” there were inserted “or, as the case may be, the National Assembly for Wales””.

# LOCAL GOVERNMENT BYELAWS (WALES) BILL

## **Explanatory Memorandum to Local Government Byelaws (Wales) Bill**

This Explanatory Memorandum has been prepared by the Local Government and Communities Department of the Welsh Government and is laid before the National Assembly for Wales.

### **Member's Declaration**

In my view the provisions of the Local Government Byelaws (Wales) Bill, introduced by me on the 28 November 2011, would be within the legislative competence of the National Assembly for Wales.

**Carl Sargeant AM**

Minister for Local Government and Communities  
Assembly Member in charge of the Bill

***28 November 2011***

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8. Costs and benefits
9. Competition Assessment
10. Post implementation review

## **ANNEX 1 – EXPLANATORY NOTES**

## 1. Description

1.1 The proposed Local Government Byelaws (Wales) Bill gives effect to the Welsh Government's proposals to simplify procedures for making and enforcing local authority byelaws. The Bill introduces an alternative procedure for local authorities to follow in making a number of byelaws. For these byelaws, the Bill requires authorities to consult locally before making a byelaw and removes the requirement for confirmation by the Welsh Ministers. The proposed Bill also provides an optional alternative, and more efficient, means of enforcement through fixed penalty notices. Finally, the Bill also recasts and consolidates existing byelaw provisions in sections 235 to 238 of the Local Government Act 1972. This is a step towards the development of a Welsh Statute Book and makes the key legislative provisions relating to making, confirming and enforcing byelaws in Wales accessible in a single enactment.

## 2. Legislative background

### Subject in Schedule 7 of GOWA 2006

2.1. The National Assembly for Wales has the legislative competence to make provision for and in connection with byelaws by virtue of the subject which relates to powers and duties of local authorities and their members and officers under the heading of local government in Schedule 7, subject 12 of the Government of Wales Act 2006 (“GOWA 2006”). The National Assembly for Wales also has the legislative competence to make these provisions pursuant to section 108(4), (5) and (7) of GOWA 2006. In addition, the National Assembly for Wales also has the legislative competence to make provision for the procedure for making and enforcing byelaws by National Park authorities in Wales and the Countryside Council for Wales by virtue of the subjects which relate to countryside and open spaces (including the designation and regulation of national parks and areas of outstanding natural beauty), nature conservation and sites of special scientific interest under the heading of environment in Schedule 7, subject 6 of GOWA 2006.

2.2. Schedule 7, subject 12 of GOWA is reproduced below:

#### *Local Government*

12

*Constitution, structure and areas of local authorities. Electoral arrangements for local authorities. Powers and duties of local authorities and their members and officers. Local government finance.*

*“Local authorities” does not include police authorities.*

#### *Exceptions—*

*Local government franchise.*

*Electoral registration and administration.*

*Registration of births, marriages, civil partnerships and deaths.*

*Licensing of sale and supply of alcohol, provision of entertainment and late night refreshment.*

*Anti-social behaviour orders.*

*Local land charges, apart from fees.*

*Sunday trading.*

*Provisions of advice and assistance overseas by local authorities in connection with carrying on their local government activities.*

2.3 Section 108 of GOWA is reproduced below:

#### *Legislative Competence*

*(1) Subject to the provisions of this Part, an Act of the Assembly may make any provision that could be made by an Act of Parliament.*

- (2) *An Act of the Assembly is not law so far as any provision of the Act is outside of the Assembly's legislative competence.*
- (3) *A provision of an Act of the Assembly is within the Assembly's legislative competence only if it falls within subsection (4) or (5).*
- (4) *A provision of an Act of the Assembly falls within this subsection if –*  
 (a) *it relates to one or more of the subjects listed under any of the headings in Part 1 of Schedule 7 and does not fall within any of the exceptions specified in that Part of that Schedule (whether or not under that heading or any of those headings),*  
 and  
 (b) *it neither applies otherwise than in relation Wales.*
- (5) *A provision of an Act of the Assembly falls within this subsection if—*  
 (a) *it provides for the enforcement of a provision (of that or any other Act of the Assembly) which falls within subsection (4) or a provision of an Assembly Measure or it is otherwise appropriate for making such a provision effective,*  
 or  
 (b) *is otherwise incidental to, or consequential on, such a provision.*
- (6) *But a provision which falls within subsection (4) or (5) is outside the Assembly's legislative competence if—*  
 (a) *it breaches any of the restrictions in Part 2 of Schedule 7, having regard to any exception in Part 3 of that Schedule from those restrictions,*  
 (b) *it extends otherwise than in only to England and Wales,*  
 or  
 (c) *it is incompatible with the Convention rights of Community law.*
- (7) *For the purposes of this section the question whether a provision of an Act of the Assembly relates to one or more of the subjects listed in Part 1 of Schedule 7 (or falls within any of the exceptions specified in that Part of that Schedule) is to be determined by reference to the purpose of the provision, having regard (amongst other things) to its effect in all the circumstances.*

2.4 Schedule 7, subject 6 of GOWA is reproduced below:

*Environment*

*Environmental protection, including pollution, nuisances and hazardous substances. Collection, management and disposal of waste. Land drainage and land improvement. Countryside and open spaces (including the designation and regulation of national parks and areas of outstanding natural beauty). Nature conservation and sites of special scientific interest. Protection of natural habitats, coast and marine environment (including seabed). Biodiversity. Genetically modified organisms. Small holdings and allotments. Common land. Town and village greens. Burial and cremation [except coroners' functions].*

### 3. Purpose and intended effect of the legislation

#### Policy objectives and why government intervention is considered necessary

##### Problem or issue

3.1. The Local Government Policy Statement 'A Shared Responsibility', March 2007, made a commitment under the heading of "bureaucracy reduction" to consider and consult on potential changes to simplify the process for making local government byelaws in Wales. This acknowledged that the current system of making, confirming and enforcing most byelaws was overly bureaucratic, outdated and cumbersome.

3.2. In June 2010, the Welsh Government carried out a consultation into the procedures for making, confirming and enforcing local authority byelaws. This was an opportunity to find out views on simplifying the byelaw process and also to get feedback on how the process works currently.

3.3. The response to the consultation is covered in Section 4 and Appendix A of this document and provides a useful insight into the byelaw process in Wales. Whilst definitive figures are not available, it is estimated that an average 4 - 5 new byelaws per year have been confirmed by the Welsh Ministers over the past five years. Many authorities still have byelaws in operation which have been in place for decades. In one recent example, an authority was undertaking a review of parks byelaws introduced before 1976.

3.4. The need for byelaws has changed over the years. There is legislation now such as dog control orders under the Clean Neighbourhoods and Environment Act 2005 which have superseded the use of byelaws in this area. However, there are still a large number of byelaw powers which continue to provide an effective and flexible method of addressing a variety of local problems. This is one of the reasons why the Welsh Government is bringing forward this Bill so that the process for making, revoking and enforcing byelaws may be less onerous. Although the number of byelaws made each year is not expected to change materially as a result of the proposed changes, the previous process may have well deterred local authorities from making new byelaws in the past.

3.5. The Bill seeks to streamline the procedure for making byelaws primarily by removing the requirement for confirmation by the Welsh Ministers of specified new byelaws. The Welsh Government's view, endorsed during consultation, is that the process leading up to the Welsh Ministers confirmation adds little, if any, value to what are properly local considerations and decisions. It simply adds another level of administration and causes delay. However, although this applies to many byelaws which address very localised and specific issues, some byelaws such as the environment byelaws and the employment of children byelaws can be controversial and have wider implications. Response to the consultation agreed that it was advisable to retain the Welsh Ministers' role in these cases. For this reason there will be a dual process for the making and coming into force of byelaws in Wales as the Bill also preserves the current

confirmation procedure which works well in respect of those byelaws where confirmation is deemed necessary.

3.6. The Bill also provides an alternative form of enforcement through fixed penalty notices, which aims to be a more effective and efficient form of enforcement action than through the Magistrates Courts. Whilst the benefits of fixed penalty notices were acknowledged in the consultation exercise, respondees made clear that enforcement through the existing Magistrates Courts procedure should be retained. The Bill therefore provides fixed penalty notices as an optional means of enforcement by local authorities for those byelaws listed in the Bill as subject to enforcement by fixed penalty notices. Implementation of the Clean Neighbourhoods and Environment Act 2005 has shown that many unitary authorities have embraced the power to issue fixed penalty notices and are using them successfully. Importantly, they are demonstrating responsibility in using the powers correctly, proportionately and legally.

#### Who is affected?

3.7. Unitary authorities, national park authorities and community and town councils will be affected by this legislation and will benefit from a less bureaucratic and more proportionate approach to making and enforcing certain byelaws. The communities affected by byelaws will benefit from potentially speedier action to tackle local issues and problems requiring byelaws and a more consistent approach to consultation before a decision is taken to introduce a byelaw. The Welsh Government will benefit from a marginal reduction in administrative work associated with the confirmation of byelaws.

#### Objectives

3.8. One of the primary policy objectives is to empower local authorities to take ownership for local laws which they are best placed to make. It will be a local authority's responsibility to make sure that it is acting within its powers and that byelaws are properly drafted and made. Local authorities will be required to consult groups which may be affected by the byelaw as well as their community where relevant at an early stage. This is in keeping with the local authority's community leadership role and increases awareness of their communities' needs. As is currently the case, any challenge to the legality of byelaws made under the alternative procedure will ultimately be a matter for the courts.

3.9. The consultation in 2010 confirmed that enforcement of byelaws has not been very effective in the past. An important policy objective of this legislation is to provide a more direct means of enforcement through the use of fixed penalty notices. An authority will also be able to determine fixed penalty notices as the preferred method of enforcement in respect of those byelaws listed in the Bill as subject to fixed penalty notice enforcement. Fixed penalty notices have been proven to be both an efficient method of enforcement for many minor offences and an effective means of changing behaviour. This is in contrast to the current situation where local authorities have indicated that byelaws are

generally not enforced because of the disproportionate time and effort involved in taking offenders to court.

3.10. Currently, nineteen of the twenty-two local authorities operate fixed penalty notice processes in respect of nuisance activity, such as litter, dog fouling, graffiti, fly-posting and noise. Therefore any additional costs to local authorities of adopting fixed penalty notices for this purpose are likely to be small.

3.11. Fixed penalty notices will also relieve pressure on Magistrates Courts and any funds generated will be available for local authorities to improve byelaw administration and enforcement. Returns collected every year by the Welsh Government show that a total of £116,075 was collected by Welsh Local Authorities in 2010-11 via fixed penalty notices for offences against environmental byelaws which includes littering.

3.12. The proposed Bill also seeks to ensure that, before a byelaw is made, the authority undertakes an initial consultation with those potentially affected in order to explore whether a byelaw is the most appropriate solution. This will result in a statement which scopes the problem, provides a summary of community views, the decision reached and the rationale for that decision.

#### Detailed implementation and delivery plan

3.13. The main elements of this legislation are on the face of the Bill. There is provision for the Welsh Ministers to issue statutory guidance to support implementation of the proposals. The Welsh Ministers intend to issue guidance to assist authorities in meeting the requirements of the Bill. Local authorities will be under a statutory duty to have regard to the guidance issued by the Welsh Ministers. The guidance, will for example, assist local authorities in seeking to undertake proper and effective consultation prior to a decision to make a byelaw, and on the use of fixed penalty notices.

#### Government Intervention

3.14. Byelaws are designed to regulate activities and behaviour that are deemed unacceptable to the extent that they warrant the creation of a criminal offence. Local authorities have indicated that often byelaws are not enforced due to the disproportionate time and effort involved in taking the offender to court. The introduction of a fixed penalty notice scheme, in respect of those byelaws listed in the Bill as subject to enforcement, seeks to provide a mechanism to address the feedback received regarding the current enforcement process for byelaws and as an effective method to restrain unacceptable behaviour.

#### Risks/hazards if legislation not made

3.15. A number local authorities have said that they are deterred from making new byelaws and updating outdated ones because of the disproportionate length of time it can take to secure confirmation by the Welsh Ministers. It is possible therefore in respect of certain byelaws which currently are required to

be confirmed that the reluctance due to the current procedure for making and confirming byelaws could give rise to potentially unregulated health and safety situations. Primary legislation is therefore required to amend the process and make it more straightforward to make byelaws in the future.

#### Sectors to operate more efficiently

3.16. The Bill will serve to provide for local authority byelaws to become a more effective regulatory mechanism. The alternative procedure should enable local authorities to respond more speedily to local issues and the Bill provides a more efficient means of enforcement. Byelaws may be aimed at individuals or groups of people. They may also be aimed at businesses such as markets, amusement premises, hackney carriage proprietors amongst others. All these target groups should operate more responsibly and with respect for other people in their communities as a result of byelaws that are relevant, up to date and consulted on.

#### Specific territorial extent

3.17. The Bill applies in relation to Wales.

## 4. Consultation

### Who

4.1. Unitary authorities; national park authorities; community and town councils; Welsh Local Government Association; One Voice Wales were consulted from 21 June to 17 September 2010. The consultation paper invited ideas and views on how to improve and make less bureaucratic the procedures for making and confirming local authority byelaws in Wales. The paper also included proposals for fixed penalty notices to be the new form of enforcement where appropriate.

### Why

4.2. The organisations above were consulted because they are all responsible for making byelaws and follow the procedures in section 236 of the Local Government Act 1972. The representative associations were also consulted because they have an interest in issues that affect the bodies they represent.

### Summary of outcome

4.3. There was positive support for the simplification of the byelaw process by removing the need for confirmation by the Welsh Ministers, the encouragement of greater local ownership of the byelaw process as means of addressing local issues and for the introduction of fixed penalty notices as a new method of enforcement. The feedback received on other aspects of the process provided a detailed account of the capabilities of the individual sectors to make and enforce byelaws; their experience and assessment of the effectiveness of byelaws as a regulatory mechanism; the role of the Welsh Ministers and the Welsh Government and the perceived need for guidance and assistance. A detailed analysis of responses is at Appendix A.

### Changes as a result of consultation

4.4. The response to the consultation supported the proposals that were made. The referendum in March 2011 gave the National Assembly full law making powers and, as a result, our aim is to produce a Bill which achieves simplification together with recasting and consolidating those parts of the current byelaws procedure that work well for Wales. The Bill will form part of the new Welsh Statute Book.

## 5. Power to make subordinate legislation

5.1 The Bill contains provisions to make subordinate legislation. The following table sets out in relation to each provision:

- the person upon whom, or the body upon which, the power is conferred;
- the form in which the power is to be exercised;
- the appropriateness of the delegated power; and
- the applied procedure (*affirmative, negative, no procedure*), if any, together with the reasons why it is considered appropriate;

Section	Power conferred on	Form	Appropriateness	Procedure / reasons
Section 5 (Revocation by Welsh Ministers)	Welsh Ministers	Order	Suitable for an order as provision relates to administrative action in relation to obsolete local byelaws.	Negative resolution procedure applies as the order making power seeks to repeal and tidy up obsolete byelaw.
Section 9 (Power to amend Part 1 of Schedule 1)	Welsh Ministers	Order	Suitable for an order as provision relates to adding or removing from the list of enactments under which byelaws are made in Part 1 of Schedule 1 to the Bill, which are not subject to confirmation by the Welsh Ministers.	Affirmative resolution procedure applies as the Order will amend this Act and may include supplementary amendments to other primary legislation.

<b>Section</b>	<b>Power conferred on</b>	<b>Form</b>	<b>Appropriateness</b>	<b>Procedure / reasons</b>
Section 12(10) (Fixed Penalty Notices)	Welsh Ministers	Regulations	Suitable for regulations as the provision enables the Welsh Ministers to prescribe a detailed form of fixed penalty notice, if required.	Negative resolution procedure applies as the Regulations relate to technical and administrative detail regarding the form of the fixed penalty notice pursuant to this section.
Section 12(13) (Persons giving fixed penalty notices)	Welsh Ministers	Regulations	Suitable for regulations as the provision enables the Welsh Ministers to prescribe conditions to be satisfied before a community council can authorise a person to issue fixed penalty notices.	Negative resolution procedure applies as the Regulations relate to administrative detail in order to support the policy intention.

<b>Section</b>	<b>Power conferred on</b>	<b>Form</b>	<b>Appropriateness</b>	<b>Procedure / reasons</b>
Section 13(3) (Amount of fixed penalty)	Welsh Ministers	Regulations	Suitable for regulations as the provision enables the Welsh Ministers to prescribe limits for fixed penalty notices to ensure consistency of approach.	Negative resolution procedure applies as these regulations seek to specify a fixed penalty notice amount to fall within a specified range and restrict the extent to which a legislating authority can specify different amounts in relation to different byelaws.
Section 13(5) (Default amount for fixed penalty)	Welsh Ministers	Order	Suitable for an order making power as it enables the Welsh Ministers to substitute a different default amount.	Affirmative resolution procedure applies as the Order will seek to amend this Act.
Section 16 (Power to amend Part 2 of Schedule 1)	Welsh Ministers	Order	Suitable for an order as provision relates to adding or removing from the list of enactments under which byelaws are made in Part 2 of the Schedule 1 to the Bill, which may be enforced through fixed penalty notices.	Affirmative resolution procedure applies as the Order will amend this Act and may include supplementary amendments to other primary legislation.

<b>Section</b>	<b>Power conferred on</b>	<b>Form</b>	<b>Appropriateness</b>	<b>Procedure / reasons</b>
Section 22 (Commencement of Act)	Welsh Ministers	Order	Suitable for an order making power as the provision relates to the coming into force date to be decided by the Welsh Ministers.	No procedure
Schedule 2, Paragraph 8(3) (Minor and Consequential Amendments – Wildlife and Countryside Act 1981)	Welsh Ministers	Regulations	Suitable for regulations as enables consequential provisions to be made in the future as a result of the modifications introduced by the Bill.	Negative resolution procedure applies as the regulations will seek to replace the existing regulations which were made pursuant to the negative procedure.

## 6. Regulatory Impact Assessment (RIA)

6.1 A Regulatory Impact Assessment has been completed in accordance with Standing Order 26.6(vi) for the proposed Bill and follows at Section 7.

6.2 A cost benefit assessment is included at Section 8.

## PART 2 – REGULATORY IMPACT ASSESSMENT

### 7. Options

#### Impact and costs of Local Government (Byelaws) (Wales) Bill

##### Option 1 – Do nothing – Maintain the current byelaw making process

7.1 If we do nothing, the Welsh Government would not be able to deliver on one of the strands of the commitment made to reduce bureaucracy in “A Shared Responsibility”, local government policy statement in 2007.

7.2 Local authorities have criticised the current byelaw process because gaining confirmation from the Welsh Ministers can be a time consuming and laborious process. Additionally, byelaws can be difficult to enforce because action through the Magistrates Courts can be onerous and time consuming.

7.3 As a result, byelaws may not always be as effective a regulatory mechanism as they should be. It must be noted that there are certain instances such as for environment byelaws which can raise controversial issues and significant debate and employment of children byelaws where a national consistent approach is needed where confirmation of the Welsh Ministers will be retained.

#### Costs and benefits

7.4 The “do nothing” option would offer no benefits for local authorities, the public or Welsh Government. Wales would continue to operate the current procedure which is no longer deemed fit for purpose, given the revised capacity and expectations from local government since the Local Government Act was introduced in 1972.

7.5 The consultation in 2010 suggested that byelaws are hardly ever enforced and they only have nominal effect as a regulatory tool.

7.6 Unlike the current system, running costs are likely to reduce through the removal of the confirmation process and may be further offset by the revenue generated by fixed penalty notices. Please click on link below to see the revenue generated through fixed penalty notices issued as a result of the Clean Neighbourhoods and Environment Act 2005.

<http://wales.gov.uk/topics/environmentcountryside/epq/cleanneighbour/fixedpenalty/1011/?lang=en>

7.7 Although implementing fixed penalty notices may necessitate recruitment, resulting in some additional costs on local authorities, it may equally be possible to incorporate enforcement of byelaws within existing fixed penalty notice schemes, without significant additional cost or personnel. Local authorities have already shown that they have been using the fixed penalty regime responsibly under the Clean Neighbourhoods and Environment Act

2005. The positive way in which many local authorities have embraced this type of enforcement would no doubt have saved a significant amount of court time.

7.8 It must be stressed that fixed penalty notices should not be seen as a source of revenue generation but rather as a penalty which encourages behaviour change. The income generated should be used to facilitate compliance with the byelaw regime in general.

#### Option 2 – Introduce an Assembly Bill

7.9 Local authorities are granted power to make byelaws under various Acts of Parliament including the Local Government Act 1972. The only means of amending the byelaws process is to make changes to primary legislation, which would necessitate an Act of the Assembly. If doing nothing (Option 1) is discounted, introducing an Assembly Bill (Option 2) is the only remaining course of action.

7.10 Introducing a Bill would reduce bureaucracy for both local authorities and the Welsh Government and increase ownership of byelaws by local authorities. It would mean that authorities have greater control over the timescales needed to bring their byelaws in to force and ensure that they coincide with special requirements e.g. summer months, special events etc.

7.11 Consultation with interested parties at the initial stages of developing a byelaw (partly to ascertain that a byelaw is indeed the most appropriate course of action and partly to consult and be transparent) is currently encouraged as good practice and is one of the requirements that Welsh Government officials request evidence of during the confirmation process. The proposal would make this a statutory requirement which will be beneficial for local communities and special interest groups and ensure that their views and needs are taken into account.

7.12 The introduction of fixed penalty notices would facilitate an alternative means of enforcement of byelaws. There is already evidence of this from the fixed penalty notices being used by local authorities under the Clean Neighbourhoods and Environment Act 2005 for litter, dog fouling and fly tipping offences. The fines collected in respect of these offences are used to fund improvements within the entire spectrum of byelaws to implement behaviour changing measures and make the enforcement system more effective. Please click on the link below to see the latest fixed penalty notice figures which show that the number of notices issued during 2010-11 has increased from the previous year.

<http://wales.gov.uk/topics/environmentcountryside/epq/cleanneighbour/fixedpenalty/1011/?lang=en>

## 8. Costs & benefits

8.1 It is anticipated that the cost implications of the Bill are likely to be low given the small number, approximately 4 to 5, of byelaws currently confirmed by the Welsh Ministers each year. This view is further supported by the findings of the consultation exercise undertaken between 21 June 2010 and 17 September 2010. A monetary quantification of the cost implication is detailed below. An assessment of the potential 'winners' and 'losers', together with a description of the likely impact, can be found at Appendix B.

8.2 It should be noted that Regulatory Impact Assessments are required to consider the costs and benefits that are additional (i.e. incremental or marginal) to those that would have been incurred if no action were taken.

8.3 Any additional expenditure resulting from changes to the byelaw process would be met from current budgets with no extra charge against the Welsh Consolidation Fund.

### **OPTION 1: Do Nothing – Maintain the current byelaw process**

#### **COSTS**

##### **Transitional Costs (one off):**

8.4 Under Option 1, current processes and practices will remain the same. There will therefore be no transitional costs.

##### **Average Annual Costs (excluding one-off):**

8.5 It is for local authorities to determine if, and when, it is appropriate to develop byelaws. Byelaws by their very nature deal with local issues across a diverse range of situations, such as nuisance behaviours, public baths, pleasure fairs etc. While the statutory process is the same, the issues to be considered and the extent of consultation and engagement with communities and stakeholders will differ and in that respect there is no such thing as a typical byelaw process.

8.6 Based on a recent byelaw a best estimate of the administrative cost to local authorities is £7000 - £9000 per byelaw. This related to the resource cost of drafting the byelaw, completing the consultation and press notices and submitting the byelaw to the Welsh Government for confirmation.

8.7 Based on a recent byelaw a best estimate of the cost to the Welsh Government is £1250 per byelaw. This relates to the cost of policy and legal resources to take the byelaw through the confirmation process.

8.8 Under Option 1, current processes and practises will remain the same, so there will be no additional costs.

## **BENEFITS**

8.9 There are no additional benefits under Option 1. The process for submission and confirmation of byelaws will remain as present.

## **OPTION 2: Introduce an Assembly Bill**

### **COSTS**

#### **Transitional Costs (one off):**

8.10 Option 2 will involve minor transitional costs for the Welsh Government and potentially some transitional costs for local authorities.

- The Welsh Government will need to provide guidance to local authorities detailing the new process. The best estimate of this cost is £1000, which would fund the resources to review the existing guidance, revise and publish the updated guidance.
- The use of fixed penalty notices is optional. Local authorities wishing to enforce byelaws through this means will need to make appropriate arrangements. However, most authorities already have arrangements in place under the Clean Neighbourhoods and Environment Act 2005. It is anticipated that any additional costs would largely be limited to awareness training for existing staff and would not be significant. This would likely form part of ongoing training programmes and, as such, the best estimate of this cost is £500 per authority.

#### **Average Annual Costs (excluding one-off):**

8.11 Under Option 2, there would be a resource saving for both local authorities and the Welsh Government due to the removal of the confirmation process. Where Welsh Ministers' confirmation was not required, the Welsh Government would save the £1250 detailed under Option 1 with a commensurate saving in local authority costs.

8.12 Option 2 does place a statutory requirement upon authorities to consult stakeholders and assess impacts before new byelaws are made. Whilst consultation is merely recommended under the current process, in practise authorities are expected to demonstrate that there has been appropriate consultation before byelaws are confirmed by the Welsh Ministers. Option 2 effectively codifies current practise in this regard and there is no associated additional cost. The extent of consultation required in developing a byelaw will depend on factors such as the type of byelaw, the number of stakeholders and the scale of the issue being addressed. Based on the figures given at paragraph 8.6, the best estimate of consultation cost is £2,000-£3,000.

8.13 Option 2 also provides for a more efficient, but optional, means of enforcement through fixed penalty notices. It is estimated that the saving in cost of pursuing an offence against a byelaw through the Magistrates Court would be of the order of £500 – £1000.

## **BENEFITS**

8.14 Under Option 2, both local authorities and the Welsh Government would realise benefits.

- The foremost benefit to local authorities would be the reduction in the time taken to introduce a byelaw. This would enable an authority to address local issues in an effective and timely manner, reduce bureaucracy and foster greater ownership of local laws.
- The removal of the confirmation process for non-controversial byelaws would release Welsh Government resources to work on other policy areas.
- The option of Fixed Penalty Notices would remove the need for local authority resources to prepare statements and prosecution files and release Magistrate Courts' time.

## 9. Competition Assessment

### How the Bill affects business, charities and/or the voluntary sector.

9.1. It is not possible to apply the competition filter because data in relation to byelaw activity is sparse; the use and enforcement of changed byelaws is indeterminable; and there is insufficient detail to be able to determine how the changes may impact on business and competition and on charities and the voluntary sector. Many of the byelaw powers affect individuals rather than the sectors mentioned above.

9.2. It is possible that a significant proportion of byelaws created under the new powers would have occurred anyway under the existing system if it had not been changed. Thus the additional impact of the Bill provisions on business, charities and the voluntary sector may be marginal.

9.3. Taking a risk based approach, since safeguards are built into the Bill in terms of the requirement for statutory consultation at the outset of the byelaw making process by local government, it would seem unlikely that the new provisions will have a “significant detrimental effect on competition”.

## 10. Post implementation review

10.1. Although many byelaws will in future be made without the need for confirmation, there will still be byelaws, such as the environment and employment of children byelaws which will need the Welsh Minister's confirmation. This is because these byelaws can be controversial and have wider implications. Response to the consultation exercise in 2010 indicated that it was advisable to retain the Welsh Ministers' role in these cases. For this reason these byelaws will still need to be submitted to Welsh Government officials for scrutiny before they apply to Welsh Ministers for confirmation.

10.2. The Welsh Government Departments will continue to provide guidance and byelaw models to local government. The Democracy Ethics and Partnership Division as the lead Welsh Government Division will co-ordinate engagement with local government to obtain feedback on byelaws made and fixed penalty notices issued.

**Local Authority Byelaws in Wales – A Consultation Paper  
Procedures for Making, Confirming and Enforcing byelaws**

**Report on the responses to a consultation carried out from 21 June to 17 September 2010.**

**Introduction**

1. The consultation paper stated the Assembly Government's intention to reform the byelaw procedure and outlined proposals for improving and make less bureaucratic the procedures for making and confirming local authority byelaws in Wales.
2. The paper also included proposals for fixed penalty notices to be the new form of enforcement for Wales.
3. The byelaws being considered were those for which procedures in section 236 of the Local Government Act applied.
4. The consultation document was issued to the 22 unitary authorities; the national park authorities; all 735 community and town councils
5. In all, there were 48 responses which comprised of 11 unitary authorities (50% response rate); 35 community and town councils (5% response rate); a consolidated response from the Welsh Association of National Park Authorities on behalf of the 3 National Park Authorities; 1 other. A list of all the respondents can be found at the end of this report.
6. A range of questions were asked not only to ascertain the views of respondents to a less bureaucratic process with more ownership given to local authorities (unitary authorities, national park authorities and community and town councils for the purposes of this consultation) but also to get feedback on how the process works currently.
7. The summary response has been presented in some detail and classified by each sector's response to the questions because feedback on byelaw making has not been gathered before and for this reason could be of interest and assistance to all those concerned.

**Response to consultation**

(Please see Annex A for summary of responses)

8. There was positive support for the simplification of the byelaw process and for the introduction of fixed penalty notices as a new method of enforcement.
9. The feedback received on other aspects of the process provided a detailed account of the capabilities of the individual sectors to make and enforce byelaws; their experience and assessment of the effectiveness of

byelaws as a regulatory mechanism; the role of the Welsh Ministers and the Welsh Assembly Government and the perceived need for guidance and assistance.

10. Respondents were asked to draw our attention to any powers that were not included in the table on pages 3 to 6 of the consultation document. We did not receive any additions or amendments.

### **Next steps**

11. The views and comments expressed during this consultation exercise will be considered when we develop proposals to reform the byelaw procedure.

### **Annex A - Summary of consultation responses**

#### ***Q1. How many byelaws has your authority made or amended in the past 5 years?***

Just over half of the unitary authorities which responded had made or amended byelaws in the past 5 years.

National Park Authorities had not made or amended any byelaws in the past 5 year and neither had the community and town councils that responded.

#### ***Q2. In your experience, how effective are byelaws as a regulatory mechanism?***

##### Unitary authorities:

There was a mixed response. Some respondents thought byelaws were effective and still had a role in local law enforcement and there were others who felt they were not effective. The comment that specific byelaws were more useful than others seemed to support the established role of byelaws as a local regulatory mechanism.

Many respondents cited the necessity for adequate resources to enforce byelaws as a determining factor for whether they were effective or not. There was also mention made of the value placed on byelaws by the police in preventing unacceptable behaviour and the police's powers to interview witnesses which helped in the enforcement of byelaws.

Two respondents stated that they found that primary legislation was preferred since it helped to ensure consistency in enforcement and greater transparency for residents and businesses.

##### National Park Authorities:

The collective experience was that byelaws possessed limited effectiveness. They involved an unwieldy process to obtain, and also to enforce.

### Community and Town Councils:

Many councils expressed the view that byelaws were only effective if they were clearly known and enforced correctly and some gave examples of the difficulties in enforcement such as funding and resourcing constraints.

### **Q3. What are your views on the byelaw making and confirmation process as it operates currently?**

#### Unitary Authorities:

Almost all respondents felt that the byelaw making and confirmation process was slow, bureaucratic and not user friendly. However one respondent did not view it as an obstacle or cause for delay and wondered why the Assembly Government was inviting challenges to the current process.

#### National Park Authorities:

The response was that the process was somewhat unwieldy and perhaps disproportionately consumed time, effort and expense.

### Community and Town Councils:

The majority of respondents felt that the process was too long and bureaucratic. One respondent mentioned that it was not easy to find information or guidance on making byelaws and that there was no information on either the Assembly Government or the unitary authority websites.

A few respondents felt that it was important to continue the Assembly Government's involvement and one remarked that this would maintain cohesion and continuity.

### **Q4. Does the Welsh Ministers confirmation of byelaws add any value to the byelaws making process? If so how?**

#### Unitary Authorities:

The majority stated that the process leading to the Welsh Ministers' confirmation did not add any value. It simply added another level of administration and caused delay.

Conversely, one respondent claimed that the Welsh Ministers' confirmation had value because byelaws imposed criminal sanctions and another respondent remarked that it was justified when controversial or complex byelaws were to be introduced.

#### National Park Authorities:

The response was that it added an important validating dimension – an additional check – as well as providing scrutiny for process, drafting, good

practice etc. At least it minimised the risk that a byelaw was rendered ineffectual through poor drafting.

#### Community and Town Councils:

Just over a third of community and town council respondents stated that it added value to the process. A variety of reasons were given including that it removed barriers and provided necessary scrutiny; provided checks and balances against poorly drafted byelaws; provided objectivity; adds status by becoming part of the Assembly Government law making process; endorsed the process being administered by the local authority; was vital to maintain the consistency of application of byelaws; ensured that they were the appropriate regulatory mechanism for the issues being addressed and that they complied with legislation. One said that the decision to make a byelaw should rest with the local authority. However, the Welsh Minister should be involved in a judicial role if there was an appeal process.

About one fifth of respondents did not agree that the Welsh Ministers' confirmation added value. One of these respondents stated that it only ensured that a byelaw followed the existing model byelaw and suggested that the "confirmation" may be replaced by making any variation from the model "ultra vires".

#### ***Q5. Are there any byelaws that you can identify where the Welsh Assembly Government's role should be retained? If so, why?***

#### Unitary Authorities:

Most respondents said "no" and one respondent said that if a byelaw was made beyond the powers of the local authority, then there was a challenge process available through the courts.

The exceptions were byelaws which protected Sites of Special Scientific Interest (SSSIs) and involved other environmental considerations which frequently had a wider implication or effect other than at the local level; complex byelaws; or where there was a need for consistency as in employment of children byelaws.

#### National Park Authorities:

The views were mixed, ranging from none to a more general attitude that there was merit in the Assembly Government retaining its role in relation to all byelaws.

#### Community and Town Councils:

The majority of respondents were of the view that Assembly Government's role need not be retained. The exceptions quoted were that the Assembly Government had an important role where there was conflict with the local community as an adjudicator for disputes, and where a consistent national approach was needed as in byelaws relating to children.

There were some that felt the Assembly Government's role should be retained. The instances for community and town councils needing new byelaws were generally low and so it could still be worth retaining a check for all.

***Q6. Would there be value in the Welsh Assembly Government continuing its role in providing guidance? If so how could current guidance on making byelaws be improved?***

Unitary Authorities:

All agreed that Assembly Government guidance needed to be continued. The reasons given were that it simplified the adoption process; ensures consistency amongst the local authorities; supported the needs of community and town councils; helpful to be able to speak to officials about the interpretation of written guidance or model byelaws.

Improvements to the current process that were suggested included byelaw models and guidance to be adapted for Wales; needed to be kept up to date and available on the Assembly Government website; promote best practice and advice on preventing possible challenges to byelaws.

National Park Authorities

Assembly Government guidance was seen to provide an important function in promoting the consistency and quality of byelaws.

Community and Town Councils:

A mixed response. Some negative replies and "no answers". However just over half of respondents said there was value in maintaining the Assembly Government's guidance role. Some of the reasons given included that the Assembly Government provided a safeguard that byelaws were fair; it ensured the equality of implementation and creation of byelaws; the absence of Assembly Government guidance would mean that authorities and local councils would need to raise their own capacity adding significantly to costs and resulting in unnecessary duplication.

Some of the improvements suggested were that guidance could be issued via One Voice Wales, Society of Local Councils Clerks and Welsh Local Government Association and should include model byelaws; expectation of a quicker response from the Assembly Government; to be included in the training sessions for new councillors; to be posted on Assembly Government website; to be incorporated into the councillors' handbook.

Guidance in relation to disputes to proposals for byelaws and appeals was also requested.

**Q7. Would some form of capacity building and information forum be of benefit? If so, what is the most effective vehicle for this?**

Unitary Authorities:

The majority were in favour of some form of capacity building or information sharing though a couple of respondents felt that this would not be necessary. The suggestions included Welsh Local Government Association/One Voice Wales to co-ordinate more effective use of new byelaws on an all-Wales basis; the Association of Council Secretaries and Solicitors Wales (who was viewed as probably to have the most hands on experience of byelaw making) to complement the Assembly Government's guidance role; a web based facility to be hosted by the Assembly Government ; an internet portal to be set up by unitary authorities and community and town councils jointly (costs to be reimbursed by the Assembly Government).

National Park Authorities:

While the general principle of an information sharing forum was generally welcomed, more information would be required regarding this particular suggestion before it can be given the collective support of the national park authorities.

Community and Town Councils:

Many thought a forum or some type of capacity building was a good idea. Suggestions included regional road shows, seminars, networking opportunities; creation of a database with expert advice and support for various subject areas of law; work shops for community and town councils in each authority area or regional level; on-line information forum; through national bodies One Voice Wales and Society of Local Council Clerks; county council legal department and through the One Voice Wales training programme;

**Q8. Should unitary authorities play some role in relation to byelaws made by community and town councils? If so, what should that role be?**

Unitary authorities:

Acknowledgement was made of the probable lack of capacity at most community councils to make their own byelaws.

The comments from unitary authorities included: the likely resource implications for unitary authorities to be considered; should it become apparent that more than one community council in the authority area wished to make a similar byelaw, duplication may be avoided by the unitary authority making a byelaw for the entire area; should appeals against community council byelaws be directed to unitary authorities, this may seem inappropriate when appeals against unitary authorities are directed to the Assembly Government; unitary authority involvement would ensure uniformity and consistency.

### National Park Authorities:

Wish to leave this for community and town councils to comment on.

### Community and Town Councils:

Definite consensus on the need for unitary authority involvement. The reasons given by respondents included the higher level of expertise at unitary authority level due to dedicated legal departments and the ability to provide IT support. There was reference to a supervisory role. There were suggestions that unitary authorities should provide legal advice, guidance and vetting to ensure conformity and consistence.

Some respondents suggested that unitary authorities and community and town councils should work in partnership, co-operating towards a common interest and community councils should offer support to unitary authorities in consulting communities; provide intelligence on the differences between different types of communities e.g. urban and rural; and that resources should be shared in the enforcement of byelaws. Charters may be used as the basis for such collaboration and mutual support.

There were also those who commented that the current consultation process should continue and there were a couple of respondents who felt that unitary authorities should make the byelaws.

### ***Q9. What consultation do you currently do before and during making the byelaw?***

#### Unitary Authorities:

Unitary authorities stated that consultation processes and contact lists for consultees were in place e.g. the public, elected council representatives, community and town councils; local access forum; local businesses; special interest groups; police; trade bodies; citizens' forums; statutory authorities. The council website and web based consultation process were also mentioned.

#### National Park Authorities:

No byelaws have been made in past 5 years. Would seek to comply with government guidance when making byelaws.

#### Community and Town Councils:

No byelaws made within the past 5 years.

**Q10. Does the process outlined above provide for appropriate consultation arrangements for local communities and interest groups? Are there any further measures which could usefully provide for consultation with local people?**

Unitary Authorities:

Views ranged from “adequate arrangements” to suggestions for alternative arrangements. These included a formal requirement to hold public meetings for more rigorous local consultation; type of consultees to depend on the type of consultation e.g. Countryside Council for Wales for Sites of Special Scientific Interest (SSSIs) or Health Trusts for health and social services byelaws; compilation of a standard list of consultees i.e. local interest and community groups together with public authorities but also consider the need to include local residents.

Also mentioned was the use of the county / county borough council’s website for informing the public and providing on-line feedback.

National Park Authorities:

Commented that current guidelines are sufficient.

Community and Town Councils:

Not much response to this question – in line with response to question 9. A couple of suggestions made – the use of a community council forum and that interested groups are informed by direct contact.

**Q11. Should byelaws continue to be advertised in local newspapers? Are there more effective means of advertising them?**

Unitary Authorities

The majority were of the view that byelaws should continue to be advertised in local newspapers. The public still read public notices and such advertisements show that the unitary authority has tried to reach a wider audience.

However, due to the decline of readership over recent years other forms of media were suggested to supplement the local press – council’s own website; council’s free newspaper; local notice boards; directly liaising with specific groups that may be affected.

National Park Authorities

Responded that newspaper advertising represented objectivity and generally provided the greatest chance of reaching the largest number of people. However other (electronic) means should supplement this approach.

### Community and town councils

Half agreed. No comment from about one third. Other forms of media suggested included public meetings, websites, local notice boards; minutes of meetings; community newsletters; direct methods of engagement as a result of research into the impact on specific groups;

#### ***Q12. Is there a case for a mechanism for referring disputed byelaw proposals to the Welsh Assembly Government if there are significant objections to a proposed byelaw?***

##### Unitary authorities:

There was agreement that disputed byelaws should be referred to the Assembly Government, but only when and where there were significant objections. There was a view that it would not be desirable to unduly complicate or delay the process and that a system of written submissions may be best. One respondent commented that all byelaws should be dealt with by the unitary authority alone.

The view was also expressed that any mechanism which served to deter expensive challenges through the courts was welcome.

##### National Park Authorities:

Stated that there was a strong case for disputed byelaw proposals to be referred to the Assembly Government. It seemed a sensible option.

##### Community and town councils:

Over half agreed. Two respondents said disputes should be referred to the unitary authority.

#### ***Q13. Could other authorities or bodies or another part of the byelaw-making authority perform this role?***

##### Unitary Authorities:

There was consensus in response that this was not a workable proposal and that it was best handled at the Assembly Government level to ensure consistency.

Diverse comments included the view that another authority would not be seen to be sufficiently independent to pass judgement on its peers; there were resource implications on authorities required to perform this role; a unitary authority should be able to hear an appeal against a community council; internal scrutiny within the unitary authority should be sufficient.

##### National Park Authorities:

No, not unless an absolutely independent body was established for this reason.

#### Community and town councils:

Mixed response. Some in favour of Assembly Government and others in favour of unitary authorities. Some stated that the Assembly Government should have the final say if a dispute could not be resolved. Others stated that it would not be appropriate for other authorities or another part of the byelaw making process to perform this role. Appeals should be dealt with by an independent body.

#### ***Q14. Should the means of enforcing byelaws be amended, so that they are no longer subject to action in the Magistrates Courts, but would instead be liable to fixed penalty notices?***

#### Unitary Authorities:

Almost all respondents were in favour of this suggestion. Comments included that this would be a more effective and more easily understood means of enforcement and would ease the pressure on the court system; it seemed to work well in the enforcement of other legislation. There was a view that fixed penalties should be introduced as an addition to prosecution through the courts.

Attention was also drawn to the possible need for more resources to issue and enforce fixed penalties. The question of whether councils would be able to retain income from this source was raised.

#### National Park Authority:

Yes this would help and provide a public benefit.

#### Community and town councils:

Mixed response – well over half of respondents were in favour. But this was accompanied by cautionary comments such as concerns about the additional resources needed for fixed penalties to be effectively enforced including the problem of dealing with non payment of fines. Some wished to keep the option of using the Magistrate's Courts and there was a respondent who called for a wider debate on the proposals to include Police and Communities Together (PACT) members.

#### ***Q15. How can awareness of byelaws in force in open areas be made without adding to street clutter?***

#### Unitary authorities:

All stated that some form of street signage was necessary to ensure that everyone in the community, including visitors to the area, was informed. This was an essential requirement if byelaws were to be successfully enforced. These signs could be made smaller and / or more attractive. They could be supplemented by publicity through council websites, newsletters, local newspapers, displays in public buildings etc.

### National Park Authority:

This is an important issue for the National Park Authorities. The response of Pembrokeshire Coastal National Park Authority is quoted here: strongly of the view that displaying byelaws in full can be seriously detrimental, especially to high value landscapes and amenity land. They would welcome formal recognition that enforcement does not require that a byelaw be reproduced on site – clearly the full byelaws need to be accessible in some appropriate centralised place, and / or on websites but clarity that they do not need to be displayed for example at each of numerous access points would be very helpful. This should go hand in hand with a recognition that a unitary authority would not prosecute in circumstances where a person was simply unaware of the existence of a byelaw. Informing the public (perhaps referring to a portable notice presented by a Ranger / Warden etc) and the giving of an appropriate warning should in these circumstances be pre-requisites to formal enforcement.

However, there was another reason for the approach argued above. Greater awareness usually results from effective enforcement, not the position of a notice. So there needs to be sufficient resources in place to police byelaws once they are enacted.

### Community and town councils:

Agreement that street signs and signs in open areas were unavoidable, but could be installed in such a way as to be in keeping with the surroundings together with good design and careful placement.

Additional communication methods suggested included newspapers with details of fines, notices in annual council tax demands, use of public notice boards, council websites, tourist visitor boards, village and community plans, notices in libraries, local awareness campaigns, leaflet drops and radio.

### ***Q16. Should new byelaws include a timescale for review? What should the timescale be?***

#### Unitary authority:

Most respondents were in agreement that there should be a timescale suggesting a period ranging from 12 months to 10 years. Attention was drawn to the resource capacity and finances needed to carry out these reviews and there was a view that timescales should be flexible. One respondent felt that would place an unnecessary burden on authorities at a time when cuts in funding were being imposed.

#### National Park Authorities:

Seems reasonable. Every 10 years perhaps. The process for review should not be as arduous as the process of establishing the byelaws in the first place.

Community and town councils:

Opinions ranged from 12 months to 10 years.

**Q17. Are you in favour of simplifying the byelaw regime in a manner similar to that described in the consultation document?**

Unitary Authorities:

About 90% of respondents were in favour of simplification in the manner described in the consultation document. Qualifying comments included the view that revision would not guarantee changes unless sufficient resources needed to enforce byelaws were in place. Controversial byelaws and those which have an impact outside the local area may still require some input from the Assembly Government.

National Park Authorities:

Yes, subject to previous comments in their response.

Community and Town Councils:

Almost three quarters of respondents were in favour.

**Q18. We have asked a number of specific questions. If you have any related issues which we have not specifically addressed, please use this space to report them.**

Unitary Authorities:

Two respondents commented - byelaws had a useful role to play in addressing local issues. However, the process for making, approving and enforcement needed to be more effective. Should the process be simplified and the need for confirmation removed, it was suggested that to ensure the validity, need and fitness for purpose of a byelaw, additional processes and checks and balances would have to be included in Assembly Government guidance.

National Park Authorities:

Pembrokeshire Coastal National Park Authority (PCNPA) have drawn attention to some practical issues regarding enforcement, in particular the desirability of unambiguous powers when investigating possible breaches of byelaws to require a person to give their correct name and address, and the provision of appropriate sanctions if they do not do so. Also suggested that a clear power to take photographs of offending activities and persons undertaking them would be extremely helpful.

## **List of respondents:**

(those requesting confidentiality not included in list)

## **Unitary authorities:**

- Blaenau Gwent
- Cardiff
- Caerphilly
- Conwy
- Denbighshire
- Flintshire
- Neath Port Talbot
- Swansea
- Torfaen
- Wrexham

## **National Park Authorities**

Consolidated response from:

- Brecon Beacons National Park Authority
- Pembrokeshire Coast National Park Authority
- Snowdonia National Park Authority

## **Community and town councils**

- Abergele Town Council
- Aber Valley Community Council
- Blackwood Town Council
- Caerphilly Town Council
- Cowbridge and Llanblethian Town Council
- Denbigh Town Council
- Henllanfallteg Community Council
- Henllys Community Council
- Hirwaen and Penderyn Community Council
- Holywell Town Council
- Llandyfaelog Community Council
- Llandysul Community Council
- Llandudno Town Council
- Llanelli Rural Community Council
- Llanelli Town Council
- Llandrino Community Council
- Llangattock Vibon Avel Community Council
- Llanwrtyd Wells Town Council
- Lledrod Community Council
- Lisvane Community Council
- Llŵchwr Town Council
- Maesteg Town Council
- Myddfai Community Council
- New Radnor Community Council

### **Community and town councils (continued)**

- Northop Hall Community Council
- Overton Community Council
- Pembroke Dock Community Council
- Presteigne and Norton Town
- Rhuddian Town Council
- St Clears Town Council
- St Dogmaels Community Council
- Whitton Community Council

The following tables show who will be affected by the Bill proposals.

**Table 1: Removal of requirement for Ministerial confirmation of byelaws**

<b>Winners</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<b>Welsh Government</b> Officials' time will be released to work on other policy areas.	Low Only about 8-10 officials currently involved very occasionally.
<b>Local Authorities</b> The time required to introduce a new byelaw will be reduced.	Low About 50 % of authorities that responded had not made any byelaws in the five years before the consultation exercise in 2010. National park authorities and community and town councils had not made any.
<b>Losers</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<b>Welsh Ministers</b> Opportunity to input into the byelaw making process will be diminished.	Low
<b>Local Authorities</b> Will lose the scrutiny that Welsh Government officials provide.	Low With guidance provided by Welsh Government, local authorities will be able to take responsibility for this.

**Table 2: Duty to Consult**

<b>Winners</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<b>Stakeholders – includes communities and special interest groups</b> There will be a duty placed on local authorities to consult them rather than the good practice recommendation currently.	High Although consultation is currently suggested as good practice, the duty to consult will ensure that all those who have an interest in the byelaw are consulted and their views taken into account.
<b>Losers</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<b>Local Authorities</b> Local Authorities will have to incur the cost of a consultation exercise when introducing a new byelaw	Low. Consultation is currently recommended as good practice therefore the number of additional consultations (and associated costs) will be small.

**Table 3: Power to introduce Fixed Penalty Notice (Fixed Penalty Notices) as a method of enforcement**

<b>Winners</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<p><b>Local Authorities</b> Local Authorities will have an additional option for byelaw enforcement.</p>	<p>Low. Fixed penalty notices are optional so it will depend on each authority</p>
<p><b>Magistrates Courts</b> Byelaws are normally enforced through the Magistrates Courts. Fixed penalty notices will therefore potentially reduce the number of cases heard freeing up time to hear other cases. However cases may still proceed in respect of non payment of fines.</p>	<p>Possibly medium. There is definite potential for fixed penalty notices to increasingly take the place of enforcement through the Magistrates Courts.</p>
<b>Losers</b>	<b>Likely Scale of Impact (High / Medium / Low)</b>
<p><b>Local Authorities</b> Local Authorities will need to put systems in place for the collection of fines.</p>	<p>Low. There is no specific duty on Local Authorities to use fixed penalty notices. It is an option rather than a requirement.</p>

# **Local Government Byelaws (Wales) Bill**

Annex 1 to the Explanatory Memorandum  
incorporating the

## **Explanatory Notes**

## **Introduction**

1. These Explanatory Notes relate to the proposed Local Government Byelaws (Wales) Bill introduced into the National Assembly for Wales on 28 November 2011.

2. They have been prepared by the Welsh Government's Department for Local Government and Communities in order to assist the reader of the proposed Bill and to help inform debate on it. They do not form part of the draft Bill and have not been endorsed by the National Assembly for Wales.

3. The Explanatory Notes should be read in conjunction with the proposed Bill. They are not, and are not meant to be, a comprehensive description of the Bill. Where a section of the Bill does not seem to require any explanation or comment, none is given.

4. The powers to make the Bill are contained in Part 4 and paragraph 6 and 12 of schedule 7 to the Government of Wales Act 2006 ("GOWA 2006"). The National Assembly for Wales has the requisite legislative competence to make provision for and in connection with such proposals by virtue of the subject which relates to powers and duties of local authorities and their members and officers under the heading of local government and by virtue of the subjects which relate to countryside and open spaces, nature conservation and sites of special scientific interest under the heading of environment.

5. The following terms are used in these Explanatory Notes:

- Legislating authority – to refer to a county borough council or county council in Wales, a community council, a National Park authority in Wales, the Countryside Council for Wales.
- The 1972 Act – the Local Government Act 1972.

## **Commentary on Sections**

### **Introduction**

6. A byelaw is a law which has been made by a legislating authority under a power conferred by statute. Currently byelaws in Wales must be confirmed by the Welsh Ministers (in some cases, this confirmation power is exercisable concurrently by the Secretary of State). Offences against byelaws attract a penalty fine, which is enforced through the Magistrates' Courts.

7. The proposed Bill gives effect to the Welsh Government's proposals to simplify procedures for making and enforcing byelaws made by a legislating authority. Proposals for changes to current procedures were set out in the Welsh Government's consultation paper *'Local Authority Byelaws in Wales: Procedures for making, confirming and enforcing byelaws'*, issued in June 2010.

8. In addition to the above, the proposed Bill disapplies existing provisions in sections 235 to 238 of the 1972 Act, insofar as they apply to Wales, and recasts those sections in the Bill (with some modification where appropriate).

This means the key legislative provisions relating to the making and enforcement of byelaws in Wales are accessible in the Bill.

9. The Bill provides for an alternative procedure for legislating authorities to follow in making byelaws. Where a legislating authority has consulted on, prepared and advertised draft byelaws locally, they can be enacted without confirmation by the Welsh Ministers. The Bill provides the Welsh Ministers with an order making power to amend the list of byelaws which do not require confirmation and to which the alternative procedure applies.

10. The Bill also provides for the enforcement of certain byelaws through fixed penalty notices, as an alternative to enforcement through Magistrates Courts. This will bring the enforcement of byelaws on to the same footing as the enforcement of other low-level nuisance activities, and will facilitate a more coordinated approach to the enforcement of such matters. The Bill provides the Welsh Ministers with an order making power to amend the list of byelaws that may be enforced by fixed penalty notices going forward.

11. The Welsh Ministers will have the power to issue guidance in relation to the new procedures, dealing in particular with consultation on, and the advertisement of, byelaws locally and the use of fixed penalties.

## **Powers to make byelaws**

### **Section 1 – Overview**

12. This provides an overview of the key provisions of the Bill and what the Bill seeks to achieve. The Bill has 21 sections and 2 schedules.

### **Section 2 – Byelaws for good rule and government and suppression of nuisances**

13. This consolidates the provision of section 235 of the 1972 Act into the Bill. This enables county borough councils and county councils to make byelaws for the good rule and government of their areas and for the prevention and suppression of nuisances in their areas. Byelaws cannot be made under this section if provision for the purpose in question is made, or could be made, under another enactment. Byelaws under this power can, for example, prohibit skateboarding, ball games or touting in certain places where it causes a particular danger or nuisance, or can seek to regulate the manner in which those activities can be conducted.

## **Interpretation**

### **Section 3 – Meaning of “legislating authority”**

14. This defines the meaning of “legislating authority” in Wales for the purpose of the Bill. The definition includes other public bodies who have powers to make byelaws which are currently subject to confirmation by the Welsh Ministers, namely a National Park authority and the Countryside Council for Wales.

## **Revocation or amendment of byelaws**

### **Section 4 – Revocation or amendment by a legislating authority**

15. This recasts, in part, section 236B of the 1972 Act. It provides a power for a legislating authority to make a byelaw revoking or amending a byelaw it has previously made where, there exists no other power to do so. This will allow legislating authorities to remove obsolete byelaw provisions.

16. The power to revoke or amend a byelaw is subject to the same procedure as applied to the making of the byelaw.

### **Section 5 – Revocation by the Welsh Ministers**

17. This recasts, in part, section 236B of the 1972 Act. It confers a power on the Welsh Ministers to make an order revoking a byelaw which they believe is obsolete. The intention behind this provision is that the power of the Welsh Ministers will only be used where the power to revoke the byelaw, or the identity of the authority which should otherwise revoke the byelaw, is unclear. By virtue of section 21, such an order is subject to the National Assembly for Wales negative resolution procedure as the order making power merely enables Ministers to revoke byelaws that are no longer relevant.

## **Procedure for byelaws**

### **Section 6 – Byelaws not requiring confirmation**

18. This section prescribes the alternative procedure for a legislating authority to make a byelaw which will not require confirmation by the Welsh Ministers. This section applies to byelaws made by a legislating authority pursuant to any of the enactments specified in Part 1 of Schedule 1 to the Bill.

19. There are three stages to the procedure:

- Initial written statement and consultation with interested persons;
- Publication of decision and draft byelaws, if appropriate;
- Making and the coming into effect of byelaws.

20. Before making byelaws, a legislating authority must produce and publish an initial written statement which describes the issue which the legislating authority thinks may be addressed by making byelaws. The legislating authority must consult persons likely to be interested in, or affected by, the issue and, following consultation, to decide whether making byelaws is the most appropriate way forward. It is intended that guidance will emphasise that a legislating authority should keep an open mind as to whether a byelaw is the most appropriate way forward, prior to consultation.

21. The legislating authority must produce and publish a second written statement which contains the initial written statement, a summary of

consultation response, details of the decision reached following the conclusion of the consultation exercise and the rationale for that decision.

22. Where a legislating authority decides to make byelaws, it must give notice of its intention at least one month before the byelaws are made in one or more local newspapers circulating in the area to which the byelaws apply. The legislating authority must also publish this notice by placing it on the legislating authority's website, if a website is available. The legislating authority must also for one month prior to making byelaws publish the draft byelaws, place a copy on deposit at its principal office and ensure that a copy is open to public inspection. The byelaw must be made no later than 6 months after the date on which the legislating authority gave notice of its intention to do so.

23. The legislating authority is required to publish the initial written statement, second written statement, notice of intention to make the byelaw and the draft byelaw on its website.

24. A legislating authority may charge a reasonable fee for providing a copy of proposed draft byelaws to any person.

### **Section 7 – Byelaws requiring confirmation**

25. This section replaces and modifies provisions in section 236 of the 1972 Act. It relates to those byelaws made by a legislating authority pursuant to any enactment which confers on the legislating authority powers to make byelaws where specific provision as to the procedure is not otherwise made. The section 236 procedure detailed in the 1972 Act is the common procedure for the making of byelaws which require confirmation.

26. There is a three stage procedure:

- Making of byelaws;
- Publication of intention to seek confirmation of byelaws;
- Confirmation and the coming into effect of byelaws.

27. The legislating authority must submit the byelaws it makes to the confirming authority. At least one month before a byelaw is submitted for confirmation, a legislating authority must give notice of its intention to do so in one or more local newspapers circulating in the area. The legislating authority must also publish its intention to do so and to deposit a copy of the bylaws at their offices and ensure a copy is open to public inspection.

28. The legislating authority is required to publish notice of intention to make the byelaw and the byelaw submitted for confirmation on its website.

29. A legislating authority may charge a reasonable fee for providing a copy of the byelaws to any person and must ensure that a copy of the byelaw is deposited at its principal office and is open to public inspection at all reasonable hours.

30. The confirming authority may refuse to confirm any byelaw submitted for confirmation. Byelaws do not have effect unless and until they are confirmed by the confirming authority.

31. Where no confirming authority is specified in the enactment under which the byelaws are made the confirmation functions of the Welsh Ministers are exercisable concurrently with the Secretary of State. It is intended that the Secretary of State would act as the confirming authority for any byelaws that may fall outside the legislative competence of the National Assembly for Wales.

## **Section 8 – Formalities, commencement and publication of byelaws**

32. This section recasts the provisions in section 236 of the 1972 Act which will apply to both byelaws made subject to the confirmation procedure and byelaws made subject to the alternative procedure which do not require confirmation by the Welsh Ministers. This section applies to byelaws made by a legislating authority under any enactment which confers on the legislating authority the power to make byelaws. It should be noted that this the procedures described in the section only apply to the extent that specific provision as to the procedure is not otherwise made.

33. Byelaws are to be made under the common seal of the legislating authority, or signed by two members of a community council not having a seal.

34. Byelaws are to come into effect on the date fixed by the legislating authority or the confirming authority as appropriate to the procedure under which the byelaws are made. Where no date is fixed, byelaws will come into effect one month from having been made (under the section 6 procedure) or one month from confirmation (under the section 7 procedure), as appropriate.

35. The legislating authority which makes the byelaws must publish the byelaws on its website and deposit a copy at its principal office for public inspection. The requirement to “publish” includes by placing the appropriate documents on the authority’s website. A legislating authority may charge a reasonable fee for providing a copy of the byelaws to any person.

36. The proper officer of a legislating authority must send a copy of the byelaws made by the legislating authority to the proper officer of the council of every community to which the byelaws apply. For a National Park authority, the proper officer must send a copy of every byelaw once made, or where required once confirmed, to the proper officer of the council for every county borough or county or community in Wales whose area includes the whole or part of the National Park.

37. The proper officer of the community council must deposit the byelaws with the public documents of the community and ensure that a copy is open to public inspection.

38. For byelaws made by the Countryside Council for Wales the Countryside Council for Wales must ensure that a copy of a byelaw once made, or where required once confirmed, is sent to the proper officer of the council of every

county borough or county to whose area the byelaws applies and to the proper officer of the council of every community to whose area the byelaw applies.

39. This section provides that the “proper officer” is the officer duly authorised to serve that purpose by that body.

### **Section 9 – Power to amend Part 1 of Schedule 1**

40. This provides a power for the Welsh Ministers, by order, to amend Part 1 of Schedule 1 (byelaws not requiring confirmation). In making any such order the Welsh Ministers may amend Part 1 of Schedule 1 by adding or subtracting from the list of enactments or by amending the type of authority that may make byelaws without confirmation. By virtue of section 21(3), such an order is subject to affirmative resolution by the National Assembly for Wales as the Order will amend this Act and may include consequential amendments to other primary legislation in accordance with the power in section 21(1).

41. Provision in section 21(1) to allows the Welsh Ministers to make such incidental, consequential, transitional or supplemental provision as the Welsh Ministers consider to be appropriate. In the case of an order under section 9 this provision can include provision to amending, repealing or revoking enactments.

### **Enforcement of byelaws**

#### **Section 10 - Offences against byelaws**

42. This recasts section 237 of the 1972 Act, including the modifications made regarding the fine payable provided by the Criminal Justice Act 1982. Byelaws made by a legislating authority may provide that persons contravening such byelaws are liable on summary conviction to a fine. Such fine must not exceed the amount fixed by the relevant enactment or, if no sum is fixed, level 2 on the standard scale (currently £500). Similarly, the fine for conviction of a continuing offence is the amount fixed in the relevant enactment or £5 for each day during which the offence continues.

#### **Section 11 – Section 2 byelaws; powers of seizure etc**

43. This replicates section 237ZA of the 1972 Act, inserted by section 150(2) of the Police Reform and Social Responsibility Act 2011. It enables a county council or county borough council to attach powers of seizure and retention of any property in connection with any breach of a byelaw made under section 2 (good rule and government and for the prevention and suppression of nuisances) and, upon conviction for non-compliance or contravention of any byelaw, provision for forfeiture of any such property.

## **Fixed Penalty Notices**

### **Section 12 – Power to offer fixed penalties for offences against certain byelaws**

44. This section enables a legislating authority to use fixed penalties as an alternative means of enforcing byelaws made under the enactments listed within Part 2 of schedule 1 to the Bill.

45. Where a byelaw is specified within Part 2 of the schedule 1 to the Bill, subsection (2) provides for an authorised officer of a legislating authority to issue a fixed penalty notice offering a person the opportunity of discharging liability for conviction for a byelaw offence by the payment of the amount specified in the fixed penalty notice. Subsection (3) makes the same provision for an authorised officer of a community council to issue fixed penalty notices in relation to offences against byelaws committed in its area, even if the byelaw was made by a legislating authority other than the community council.

46. Subsection (4) provides that a fixed penalty is payable to the legislating authority whose officer issued the notice.

47. Subsection (5) provides that, following receipt of a fixed penalty notice, the recipient has fourteen days in which to pay the specified fine, and thus avoid attending the Magistrates' Court in respect of the offence.

48. Subsection (6) provides that the fixed penalty notice must give sufficient information to the recipient so that the nature of the offence is clear.

49. Subsection (7) provides that a fixed penalty notice must also detail the period during which proceedings will not be taken for the offence, the amount of the fixed penalty and the person to whom and the address at which the fixed penalty may be paid.

50. Subsection (8) provides for the method of payment of the fixed penalty by way of pre-paying and posting a letter.

51. Subsection (9) details that where a letter is sent discharging payment the payment will be deemed to have been made at the time at which the letter would be delivered in the ordinary course of post.

52. Subsection (10) provides the Welsh Ministers with a regulation making power to specify the form of the fixed penalty notice issued pursuant to this section. These powers are subject to the National Assembly negative resolution procedure.

53. Subsection (11) provides that in the event of proceedings a certificate signed on behalf of the chief finance officer of an authority which states the payment of a fixed penalty having been received, or not, as the case may be will be deemed evidence of the facts stated.

54. Subsection (12) makes provision about which persons are authorised to issue fixed penalty notices. “Authorised officers” will be restricted to those authorised in writing by the legislating authority to carry out the function. This may be a direct employee of the legislating authority, or a person, or an employee of a person, with whom the legislating authority has a contract for the enforcement of byelaws.

55. Welsh Ministers are empowered to specify, by regulations, the form of such a notice and the conditions to be satisfied by a person before a community council may authorise them for the purpose of giving notices. This power is subject to the National Assembly negative resolution procedure.

### **Section 13 - Amount of fixed penalty**

56. This section provides for the level of fixed penalties payable in respect of a breach of byelaws that may be specified by the legislating authority. The section confers on the Welsh Ministers the power to make regulations specifying a range within which the amount of fixed penalty must fall. The exercise of this power is subject to the National Assembly negative resolution procedure.

57. Where a range has been specified, a legislating authority may choose to set an amount within that range. Where no range has been set, a legislating authority will have the freedom to set the penalty. Where the legislating authority does not specify a penalty for breach of a byelaw, the section provides for a default amount of £75. This section empowers the Welsh Ministers to make an order to change the default amount as necessary, so that the level remains in line with similar low-level offences. The Welsh Ministers’ powers in this regard are subject to affirmative resolution by the National Assembly.

### **Section 14 – Power to require name and address in connection with fixed penalty**

58. This section gives an authorised officer who proposes to issue a fixed penalty notice for breach of a byelaw the power to require the person to whom the notice is issued to give their name and address. A person who fails without reasonable excuse to give their name and address or gives a false name and address will commit an offence and is liable on summary conviction to fine not exceeding level three on the standard scale (currently £1,000). The offence of failing to co-operate undermines the ability of a legislating authority to enforce the law and this offence is reflected in the level of the fine.

### **Section 15 – Use of fixed penalty receipts**

59. This section requires a legislating authority, when considering how to use their fixed penalty receipts, to have regard to the desirability of using the money in combating nuisances for the prevention of which any byelaw has been made. This means that legislating authorities are required to consider whether fixed penalty receipts should be used generally in combating such nuisances. It would not be necessary for receipts to be used only towards combating the nuisance the relevant byelaw is concerned with.

## **Section 16 – Power to amend Part 2 of Schedule 1**

60. This section provides that the Welsh Ministers may by order amend the list detailed at Part 2 of Schedule 1 to the Bill (byelaws in relation to which fixed penalties may be issued) by adding to or subtracting from the list of enactments or by amending the type of the authority that may offer fixed penalty notices. This order making power is subject to the National Assembly for Wales affirmative resolution procedure.

## **Section 17 – Community Support Officers etc**

61. This section amends the Police Reform Act 2002 so that if a legislating authority and the chief police officer for the area agree, community support officers and other “accredited persons” under that Act may issue fixed penalty notices for breach of legislating authority byelaws. Before a community support officer or accredited person will be able to do this, the chief police officer is required to designate the community support officer or accredited person as having that function. In addition, the byelaw to which the fixed penalty notice relates is required to appear on a list agreed between the chief police officer and the legislating authority.

## **Miscellaneous and general**

### **Section 18 – Guidance**

62. This section gives the Welsh Ministers the power to issue statutory guidance in relation to the procedures for making byelaws, the enforcement of byelaws and anything related to these matters. Such related matters will include guidance on consulting on and publicising new byelaws, good practice in relation to byelaws and the use of fixed penalty notices. The legislating authority must have regard to the guidance issued when making or enforcing byelaws.

### **Section 19 – Evidence of byelaws**

63. This section recasts section 238 of the 1972 Act. It makes provision for evidencing the existence of byelaws made by a legislating authority for byelaws made that are not subject to the confirmation procedure. A certified copy of a byelaw is deemed to be a printed copy of the byelaw that was made which is endorsed together with a certificate signed by the proper officer of a legislating authority.

64. The certified copy byelaw must state that the byelaw was made by the legislating authority, that it is a true copy of the made byelaw, the date upon which the byelaw was confirmed by the legislating authority named in the certificate, or not, as the case may be, and if sent to the confirming authority was not disallowed. In addition, the certified copy must state the date, if any, fixed by the confirming authority for the coming into effect of the byelaw.

65. This section provides that the production of a certified copy byelaw is deemed sufficient evidence of the facts stated in the certificate, unless otherwise proved.

66. A legislating authority would not be required to state within the certified copy the requirements detailed at subsection 19(2)(c) and (d) if the byelaw was not subject to confirmation after it was made.

## **Section 20 – Consequential amendments**

67. This section gives effect to Schedule 2 which makes minor and consequential amendments to a number of enactments containing provisions relating to the making of byelaws subject to the confirmation procedure pursuant to section 236 of the 1972 Act. Where byelaws are to be subject to the alternative procedure detailed in the list at Part 1 of schedule 1 to the Bill, any requirement for confirmation is to only apply in England.

68. Amendments are made which place on a legislating authority the duties that were formerly exercised by the Welsh Ministers acting as the confirming authority.

69. Amendments are also made to sections 235,236, 236B and section 238 of the 1972 Act to disapply these provisions in relation to Wales.

## **Section 21 - Orders and regulations**

70. This section provides for a power to make regulations and orders under the Act to include power to make incidental, consequential, transitional or supplemental provision.

71. In the case of the powers to make orders under sections 9 and 16 (amendment of parts 1 and 2 of Schedule 1) the incidental, consequential, transitional or supplemental provision which may be made can include provision amending, repealing or revoking enactments.

72. Orders under sections 9 and 16, and any order under section 13(5), are made subject to the affirmative procedure as they seek to amend this Act and may make subsequent amendments to other primary legislation.

73. Other orders and regulations (apart from commencement orders) are subject to the negative procedure.

## **Section 22 – Commencement**

74. This section provides for the Act to come into force in accordance with provision made by the Welsh Ministers by order.

## **Section 23 – Short title**

75. This section provides that the short title of this Act is the Local Government Byelaws (Wales) Act 2012.

## **Schedule 1 – Lists of byelaw making powers**

### **Sections 6 and 12**

#### **Part 1 – Byelaws not requiring confirmation**

76. Part 1 of schedule 1 lists the enactments under which byelaws are made which are not subject to confirmation by the Welsh Ministers. It is provided that section 6 of the Bill will apply to byelaws made under the enactments and type of legislating authority listed in Part 1 of schedule 1.

#### **Part 2 – Byelaws in relation to which fixed penalties may be issued**

77. Part 2 of schedule 1 lists the enactments under which byelaws are made which may be discharged by fixed penalty notice. It is provided that section 12 of the Bill will apply to byelaws made under the enactments and type of legislating authority listed in Part 2 of schedule 1.

## **Schedule 2 – Minor and consequential amendments**

### **Section 20**

78. Schedule 2 lists the minor and consequential amendments made by the Bill to a number of enactments containing provisions relating to the making of byelaws subject to the confirmation procedure pursuant to section 236 of the 1972 Act.



## **Constitutional and Legislative Affairs Committee**

**Report: CLA(4)-01-12 : 16 January 2012**

**The Committee reports to the Assembly as follows:**

**Instruments that raise no reporting issues under Standing Order 21.2 or 21.3**

### **Negative Resolution Instruments**

**CLA64 - The Civil Enforcement of Parking Contraventions (County Borough of Merthyr Tydfil) Designation Order 2011**

**Procedure:** Negative.

**Date made:** 29 November 2011

**Date laid:** 01 December 2011

**Coming into force date:** 11 January 2012

**CLA65 - The Flood Risk (Amendment) (Wales) Regulations 2011**

**Procedure:** Negative.

**Date made:** 30 November 2011

**Date laid:** 1 December 2011

**Coming into force date:** 22 December 2011

**CLA67 - The National Health Service (Pharmaceutical Services) (Amendment) (Wales) Regulations 2011**

**Procedure:** Negative.

**Date made:** 4 December 2011

**Date laid:** 6 December 2011

**Coming into force date:** 31 December 2011

**CLA69 - The General Teaching Council for Wales (Disciplinary Functions) (Amendment) Regulations 2011**

**Procedure:** Negative.

**Date made:** 2 December 2011

**Date laid:** 6 December 2011

**Coming into force date:** 31 December 2011

**CLA70 - The School Teacher Appraisal (Wales) Regulations 2011**

**Procedure:** Negative.

**Date made:** 6 December 2011

**Date laid:** 8 December 2011

**Coming into force date:** 1 January 2012

**CLA71 - The Common Agricultural Policy Single Payment and Support Schemes (Cross Compliance) (Wales) (Amendment) Regulations 2011**

**Procedure:** Negative

**Date made:** 7 December 2011

**Date laid:** 8 December 2011

**Coming into force date:** 1 January 2012

**CLA75 - The Landfill Allowances Scheme (Wales) (Amendment) (No. 2) Regulations 2011**

**Procedure:** Negative

**Date made:** 19 December 2011

**Date laid:** 20 December 2011

**Coming into force date:** 16 January 2012

**Affirmative Resolution Instruments**

None

**Instruments that raise reporting issues under Standing Order 21.2 or 21.3**

**Negative Resolution Instruments**

**CLA66 - The National Health Service (Charges to Overseas Visitors) (Amendment) (Wales) Regulations 2011**

**Procedure:** Negative.

**Date made:** 4 December 2011

**Date laid:** 6 December 2011

**Coming into force date:** 27 December 2011

**CLA68 - The Smoke Control Areas (Authorised Fuels) (Wales) (Amendment) Regulations 2011**

**Procedure:** Negative.

**Date made:** 2 December 2011

**Date laid:** 6 December 2011

**Coming into force date:** 31 December 2011

**CLA72 - The Non-Commercial Movement of Pet Animals Order 2011**

**Procedure:** Negative

**Date made:** 6 December 2011

**Date laid before Parliament:** 9 December 2011

**Date laid before the National Assembly for Wales:** 9 December 2011

**Coming into force date:** 1 January 2012

**CLA73 - The Environmental Permitting (England and Wales) (Amendment) (No. 2) Regulations 2011**

**Procedure:** Negative

**Date made:** 6 December 2011

**Date laid before Parliament:** 9 December 2011

**Date laid before the National Assembly for Wales:** 9 December 2011

**Coming into force date:** 1 January 2012

#### **CLA74 - The Eels (England and Wales) (Amendment) Regulations 2011**

**Procedure:** Negative

**Date made:** 12 December 2011

**Date laid before Parliament:** 13 December 2011

**Date laid before the National Assembly for Wales:** 13 December 2011

**Coming into force date:** 3 January 2012

The Committee agreed the Reports under S.O.21.2 and S.O.21.3 on these statutory instruments, which are attached as Annexes 1 - 5.

### **Other Business**

#### **Local Government By-Laws Bill**

The Committee considered the legal briefing on The Local Government Byelaws (Wales) Bill and decided to scrutinise the Bill further. The Members noted that to fit in with the Communities, Equality and Local Government Committee's deadline, the Committee would need to report on the Bill by mid-March at the latest. Consequently, the Members agreed to invite the Minister for Local Government and Communities, Carl Sargeant to give evidence on Monday 23 January 2012.

### **Committee Correspondence**

#### **CLA49 - The Audit and Assessment Reports (Wales) (Amendment) Order 2011**

The Committee noted the Minister's response to the Chair's letter dated 17 November 2011 on the merits of The Audit and Assessment Reports (Wales) (Amendment) Order 2011.

### **Amendments to the Localism Bill**

The Committee noted the First Minister's response to the Chair's letter to the Minister for Local Government and Communities on the amendments to the Localism Bill, dated 14 November 2011.

### **David Melding AM**

Chair, Constitutional and Legislative Affairs Committee

16 January 2012

## **Annex 1**

### **Constitutional and Legislative Affairs Committee**

**(CLA(4)-01-12)**

**CLA66**

### **Constitutional and Legislative Affairs Committee Report**

**Title: The National Health Service (Charges to Overseas Visitors) (Amendment) (Wales) Regulations 2011**

**Procedure: Negative**

These Regulations amend the National Health Service (Charges to Overseas Visitors) Regulations 1989 by updating, by the addition of Jersey, the list of countries or territories with which the UK Government has entered into a reciprocal health care arrangement. The Regulations also introduce exemptions from charges for NHS treatment (for the period 9 July 2012 to 12 September 2012) for members of the Olympic and Paralympic Games Families taking part in the 2012 Olympic and Paralympic Games.

#### **Technical Scrutiny**

No points are identified for reporting under Standing Order 21.2 in respect of this instrument.

#### **Merits Scrutiny**

Under Standing Order 21.3(ii) (matters giving rise to issues of public policy likely to be of interest to the Assembly) the Assembly is invited to pay special attention to the following instrument.

Members may wish to note that in December 2009 the then Minister for Health and Social Services notified the Committee of the cessation in March 2009 by the Department of Health of the bilateral agreement which had been in place between the UK and the Channel Islands. The cessation of the agreement was given effect to by The National Health Service (Charges to Overseas Visitors) (Amendment) (No.2) (Wales) Regulations 2009 - SLC353 refers.

It is not clear from the Explanatory Memorandum why a bilateral agreement is to be reinstated with Jersey, nor does the Explanatory Memorandum indicate why a similar arrangement is not being reinstated with the other Channel Islands. Nor is it clear whether Wales is alone in reinstating the agreement with Jersey. An

explanation as to why the Welsh government is now reinstating the bilateral agreement with Jersey would be welcome.

**David Melding AM**  
**Chair, Constitutional and Legislative Affairs Committee**

**16 January 2012**

**The Government has responded as follows:**

**The National Health Service (Charges to Overseas Visitors)  
(Amendment) (Wales) Regulations 2011**

In response to your Committee's report CLA66, I set out below further information on the points raised.

The UK government agreed a reciprocal healthcare agreement with the State of Jersey effective from April 2011. The earlier 'agreement' was rescinded in 2009. Under the previous agreement the UK was charged for the costs of treatment for UK visitors to Jersey and the UK charged Jersey for the cost of treatment for visitors to the UK from Jersey. The Department of Health (DH) estimated that there was a net loss to the UK Administrations of several million pounds under the terms of this agreement. Jersey did not co-operate with the required exchange of data regarding patient numbers and treatment costs and the decision was made to cancel the agreement.

DH negotiated a new agreement with Jersey under which no money would be exchanged. This brings Jersey into line with other countries and territories with which the UK has reciprocal Healthcare Agreements. The devolved administrations were consulted prior to the new agreement being entered into and all supported the new agreement. The new reciprocal agreement has also been implemented by Northern Ireland and Scotland.

It is probable that there will be a net financial gain for Wales under the new agreement as historically the number of visitors from Jersey requiring immediate and necessary treatment in Wales is small. Figures are not available from Jersey as to how many visitors from Wales required immediate and necessary treatment when visiting Jersey.

The reciprocal agreement between the UK and Guernsey was terminated in 2009. The situation with Guernsey is more complicated as healthcare covered by reciprocal agreements, that is immediately necessary treatment including A & E, is not free for local residents. If Guernsey was to enter into a reciprocal healthcare agreement with the UK which is similar to the one that the UK has entered into with Jersey, Guernsey would have a difficult situation where UK visitors would

receive free healthcare whereas Guernsey residents would be charged. At present Guernsey is not willing to enter into a reciprocal healthcare agreement with the UK which includes the provision that no money is exchanged between administrations.

Even though not one of the Channel Islands, the Committee may be interested to know the position regarding the Isle of Man. The original agreement between the UK and the Isle of Man was due to end in March 2010 but was extended until September 2010. A new agreement was implemented on 1<sup>st</sup> October 2010 so there has always been a reciprocal healthcare agreement in place with the Isle of Man.

## **Annex 2**

### **Constitutional and Legislative Affairs Committee**

**(CLA(4)-01-12)**

**CLA68**

### **Constitutional and Legislative Affairs Committee Report**

**Title: The Smoke Control Areas (Authorised Fuels) (Wales) (Amendment) Regulations 2011**

**Procedure: Negative**

These Regulations amend the Smoke Control Areas (Authorised Fuels) (Wales) Regulations 2008 (S.I. 2008/3100) by adding five new fuels to, and amending the specification of one other fuel, in the list of fuels declared to be authorised fuels for the purposes of Part III of the Clean Air Act 1993.

### **Technical Scrutiny**

The following points are identified for reporting under Standing Order 21.2 in respect of this instrument.

(1) The newly substituted paragraph 36 at sub-paragraph (c) of the English version does not refer to the “cushion shaped briquettes” being unmarked whereas the Welsh text does refer to the “cushion shaped briquettes” being unmarked. It is unclear which the correct version is.

[Standing Order 21.2 (vii)-that there appear to be inconsistencies between the meaning of its English and Welsh texts] and [Standing Order 21.2 (vi) that its drafting appears to be defective or it fails to fulfil its statutory requirement].

(2) Regulation 3 (Saving) of the English text refers to paragraph 36 of the Schedule of the Smoke Control Areas (Authorised Fuels) (Wales) Regulations 2008 (“2008 Regulations”), whereas although the Welsh version refers to paragraph 36, it does not refer to the Schedule of the 2008 Regulations, and so it is unclear as to where paragraph 36 originates in the Welsh text.

[Standing Order 21.2(vii) – that there appear to be inconsistencies between the meaning of its English and Welsh texts] and [Standing Order 21.2 (vi) that its drafting appears to be defective or it fails to fulfil statutory requirements.

## **Merits Scrutiny**

There are no points identified for reporting under Standing Order 21.3 in respect of this instrument.

**David Melding AM**  
**Chair, Constitutional and Legislative Affairs Committee**

**16 January 2012**

**The Government has responded as follows:**

### **The Smoke Control Areas (Authorised Fuels) (Wales) (Amendment) Regulations 2011**

Response to the issues that have been raised by the Legal Advisers to the Constitutional and Legislative Affairs Committee

#### Point 1

There is, as the draft report says, a difference between the Welsh and English texts of the newly substituted paragraph 36 at sub-paragraph (c). This is contained in regulation 2(dd) of the Welsh text and the corresponding regulation 2(f) of the English text.

The Welsh text incorrectly states that the briquettes are unmarked but then goes on to correctly describe the marking on the briquette. The Welsh text is, therefore, ambiguous but does contain words indicating that marking is present. The English text is correct and unambiguous, and correctly reflects the purpose and intended effect as set out in the Explanatory Memorandum. Given the description of the marking in the Welsh text, taken in combination with the English text, there is no doubt as to which text is correct: the error is clearly with the Welsh text of the newly substituted paragraph 36(c). Therefore, it is appropriate, in order to remove the ambiguity, for the Welsh text to be corrected on publication by the deletion of the words “heb eu marcio”, and this will be done.

#### Point 2

There is, as the draft report says, a difference between regulation 3 of the Welsh and English texts. However, despite the inconsistency, the legal effect of the Welsh text is clear, and is the same as the English text. This is because there is no paragraph 36 in the main body of the Regulations being amended, only in the sole Schedule to those Regulations (to which the English text clearly refers). Accordingly, it is appropriate for the error to be corrected on publication and this will be done.

## **Annex 3**

### **Constitutional and Legislative Affairs Committee**

**(CLA(4)-01-12)**

**CLA72**

### **Constitutional and Legislative Affairs Committee Report**

**Title: The Non-Commercial Movement of Pet Animals Order 2011**

**Procedure: Negative**

This Order makes provision for the administration and enforcement of a number of Commission Decisions in Great Britain, including those dealing with protection measures with regard to monkey pox virus, highly pathogenic avian influenza and movements of pet birds accompanying their owners into the Community, the protection against the risk of the introduction of rabies, Hendra disease, Nipah disease and for the administration and enforcement of Commission Delegated Regulation (EU) No 1152/2011 supplementing Regulation (EC) No. 998/2003 as regards preventive health measures for the control of tapeworm infection in dogs.

#### **Technical Scrutiny**

The following point is identified for reporting under Standing Order 21.2 in respect of this instrument.

The Non-Commercial Movement of Pet Animals Order 2011 has not been made bilingually.

[Standing Order 21.2 (ix)-that it is not made in both English and Welsh.

#### **Merits Scrutiny**

There are no points identified for reporting under Standing Order 21.3 in respect of this instrument.

**David Melding AM**

**Chair, Constitutional and Legislative Affairs Committee**

**16 January 2012**

**The Government has responded as follows:**

**The Non-Commercial Movement of Pet Animals Order 2011**

This composite Order applies to England and Wales and is subject to approval by the National Assembly for Wales and by Parliament. Accordingly, it is not considered reasonably practicable for this Instrument to be laid in draft, or made, bilingually.

## **Annex 4**

### **Constitutional and Legislative Affairs Committee**

**(CLA(4)-01-12)**

**CLA73**

### **Constitutional and Legislative Affairs Committee Report**

**Title: The Environmental Permitting (England and Wales) (Amendment) (No.2) Regulations 2011**

**Procedure: Negative**

These draft Regulations will apply to both England and Wales.

These Regulations amend the Environmental Permitting (England and Wales) Regulations 2010 so as to include transposition of Directive 2009/126/EC of the European Parliament and of the Council on Stage II petrol vapour recovery during refuelling of motor vehicles at service stations.

#### **Technical Scrutiny**

Under Standing Order 21.2 the Assembly is invited to pay special attention to the following instrument:-

1. These Regulations have not been made bilingually.

[21.2(ix) - that it is not made or to be made in both English and Welsh].

#### **Merits Scrutiny**

No points are identified for reporting under Standing Order 21.3 in respect of this instrument at this stage.

**David Melding AM**  
**Chair, Constitutional and Legislative Affairs Committee**

**16 January 2012**

**The government has responded as follows:**

**The Environmental Permitting (England and Wales) (Amendment) (No.2) Regulations 2011**

These composite Regulations amend some of the provisions in the Environmental Permitting (England and Wales) Regulations 2010 S.I. 2010.675 to transpose the Directive on Stage II petrol vapour recovery during refuelling of motor vehicles at service stations (Directive 2009/126/EC). The requirements of the Stage I petrol vapour Directive (Directive 1994/63/EC on the control of volatile organic compound (VOC) emissions resulting from the storage of petrol and its distribution from terminals to service stations) are already contained in the Environmental Permitting (England and Wales) Regulations 2010.

The Environmental Permitting regime streamlines the procedural parts of a raft of highly technical and complex legislation. It has enabled the simplification of the operation of the permitting system that industry and regulators work with without in any way compromising environmental or human health standards. This has brought much needed simplification to the complexity that industry and regulators in England and Wales previously faced .

Securing these changes via composite instruments made with the Secretary of State is consistent with the aim of simplification referred to above. The composite instrument also minimises the inconvenience and potential confusion for those affected by the Regulations, especially as the Environment Agency (a regulator) is a cross border body. **These composite Regulations apply to England and Wales and are subject to approval by the National Assembly for Wales and by Parliament. Accordingly, it is not considered reasonably practicable for this Instrument to be laid in draft, or made, bilingually.**

## **Annex 5**

### **Constitutional and Legislative Affairs Committee**

**(CLA(4)-01-12)**

**CLA74**

### **Constitutional and Legislative Affairs Committee Report**

**Title: The Eels (England and Wales) (Amendment) Regulations 2011**

**Procedure: Negative**

These Regulations amend regulations 6 and 7 of the Eels (England and Wales) Regulations 2009 ('the principal Regulations'), which implement Council Regulation (EC) No 1100/2007 establishing measures for the recovery of the stock of European eel.

The amendments correct defects in the principal Regulations identified by the Joint Committee on Statutory Instruments in its scrutiny of those Regulations. At that time, statutory instruments subject to a procedure at Westminster were not scrutinised by the corresponding National Assembly committee.

#### **Technical Scrutiny**

The following points are identified for reporting under Standing Order 21.2 in respect of this instrument.

(3) These Regulations are made in English only, being combined regulations for England and Wales that are subject to a negative procedure at Westminster.

[Standing Order 21.2(ix) – that the regulations are not made in both English and Welsh]

#### **Merits Scrutiny**

No points are identified for reporting under Standing Order 21.3 in respect of this instrument.

**David Melding AM**

**Chair, Constitutional and Legislative Affairs Committee**

**16 January 2012**